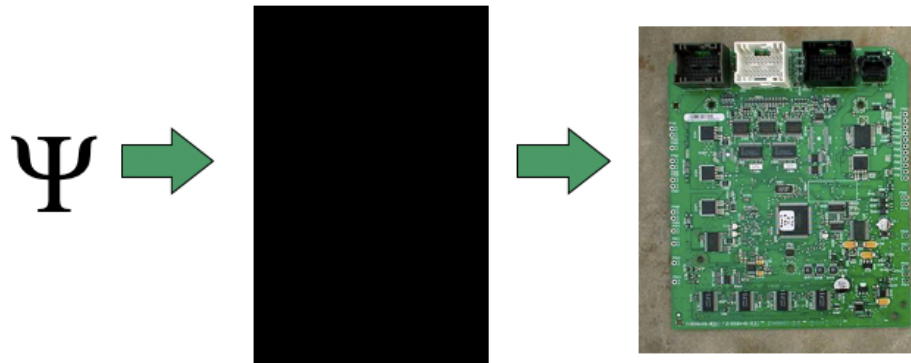


# Rational Synthesis

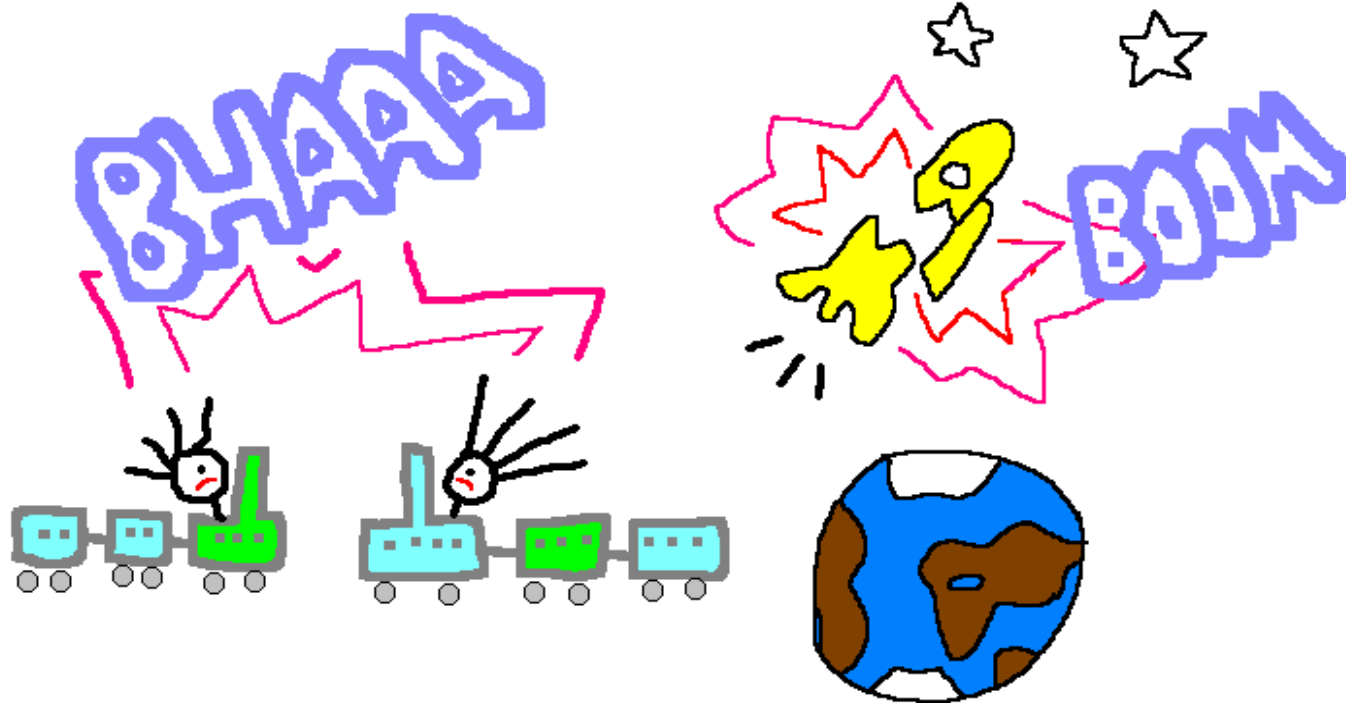


Orna Kupferman

Hebrew University

Joint work with Shaul Almagor, Dana Fisman, Yoad Lustig, Giuseppe Perelli, and Moshe Y. Vardi

Is the system correct?



# Synthesis:

**Input:** a specification  $\psi$ .

**Output:** a system satisfying  $\psi$ .

Is the system correct?

**Yes!** it satisfies its specification.

An open system: Interacts with its environment.

A game:

- A set  $I$  of input signals.
- A set  $O$  of output signals.
- In each round of the game:
  - the **system** assigns values to the signals in  $O$ .
  - the **environment** assigns values to the signals in  $I$ .
- Together, the system and the environment generate a **computation**: an infinite word over the alphabet  $2^{I \cup O}$ .

An open system:  $f:(2^I)^* \rightarrow 2^O$

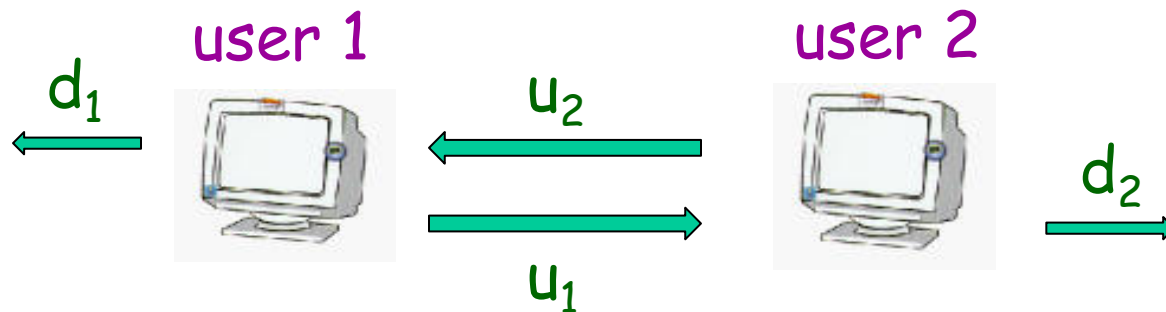
A correct system: a winning strategy.

An open system is correct if it satisfies its specification in **all** environments.

**Too strong:** Add assumptions on the environment (behavioral or structural).

**Rational synthesis:** the components that compose the environment have their own objectives and are rational.  
[Fisman, Lustig, Kupferman 2010]

An example:



User 1 can download only when User 2 uploads.

User 2 can download only when User 1 uploads.

Both users want to download infinitely often.

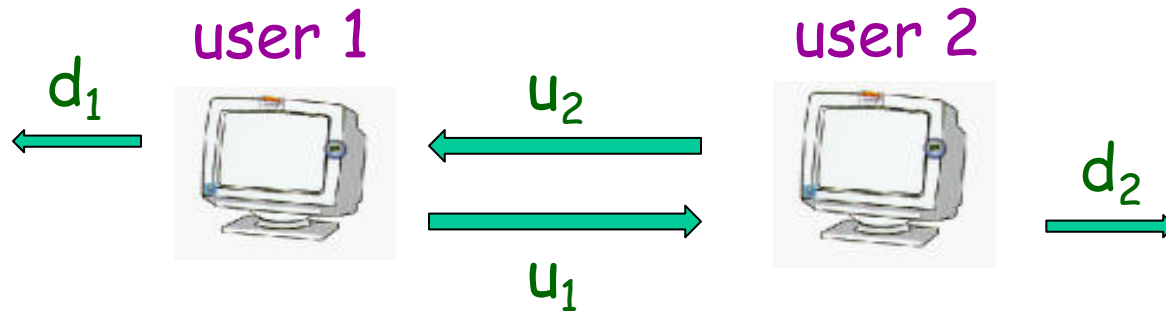
$$\varphi_1 = GF(d_1 \wedge u_2)$$

$$\varphi_2 = GF(d_2 \wedge u_1)$$

$\varphi_1$  is not realizable:

- fails when User 2 eventually never uploads.

An example:



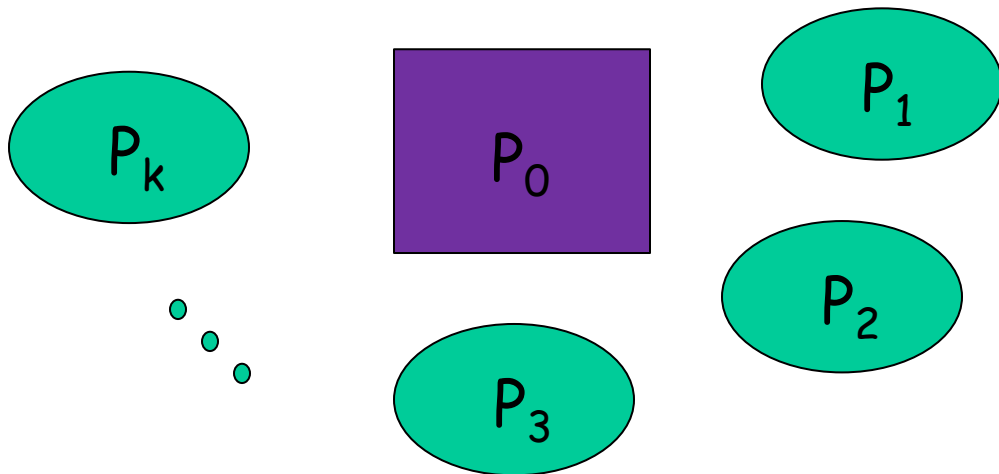
$$\varphi_1 = GF(d_1 \wedge u_2)$$

$$\varphi_2 = GF(d_2 \wedge u_1)$$

User 1 to User 2: I will upload, and will continue to upload as long as you upload.

A rational User 2 will upload forever, enabling User 1 to satisfy  $\varphi_1$ .

# Rational Synthesis [FKL10]

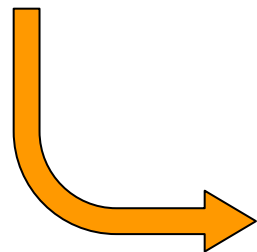


$$X = X_0 \cup \dots \cup X_k$$

$P_i$  assigns values to  $X_i$

Input: objectives  $\psi$  and  $\varphi_1, \dots, \varphi_k$ .

Output: a **stable** profile  $\langle f_0, \dots, f_k \rangle$  that satisfies  $\psi$ .



$P_1 \dots P_k$  have no incentive to deviate



## Cooperative Rational Synthesis [FKL10]

**Input:** objectives  $\psi$  and  $\varphi_1, \dots, \varphi_k$ .

**Output:** a stable profile  $\langle f_0, \dots, f_k \rangle$  that satisfies  $\psi$ .

We can suggest a strategy to the environment...

## Cooperative Rational Synthesis [FKL10]

**Input:** objectives  $\psi$  and  $\varphi_1, \dots, \varphi_k$ .

**Output:** a stable profile  $\langle f_0, \dots, f_k \rangle$  that satisfies  $\psi$ .

## Non-Cooperative Rational Synthesis [KPV13]

**Input:** objectives  $\psi$  and  $\varphi_1, \dots, \varphi_k$ .

**Output:** a strategy  $f_0$  such that every stable profile  $\langle f_0, \dots, f_k \rangle$  satisfies  $\psi$ .

How different they are?

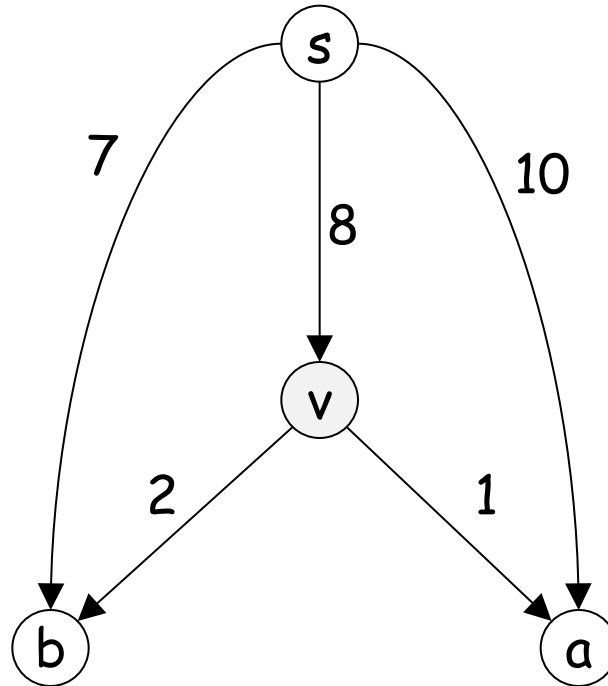
Algorithmic Game Theory

# A network

ⓑ locations.

↙ communication channels.

↙ 6 cost of creating the channel.



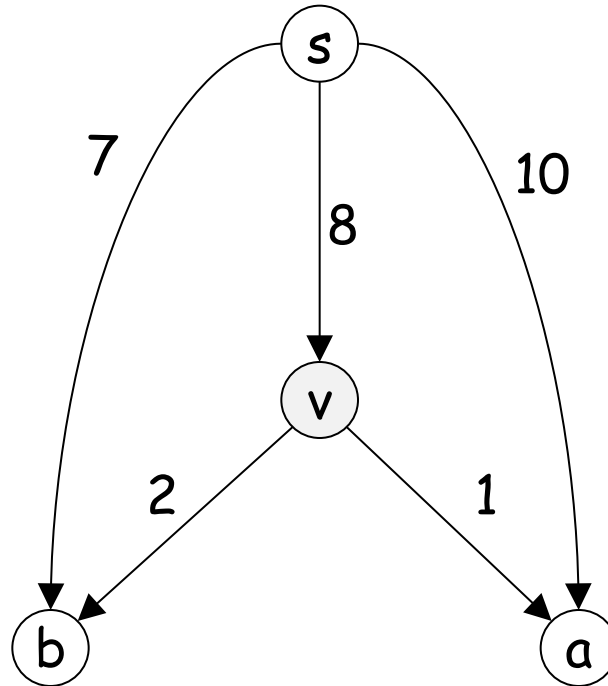
# A network formation game

[Anshelevich, Dasgupta, Kleinberg, Tardos, Wexler, Roughgarden 2004]

(b) locations.

communication channels.

6 cost of creating the channel.



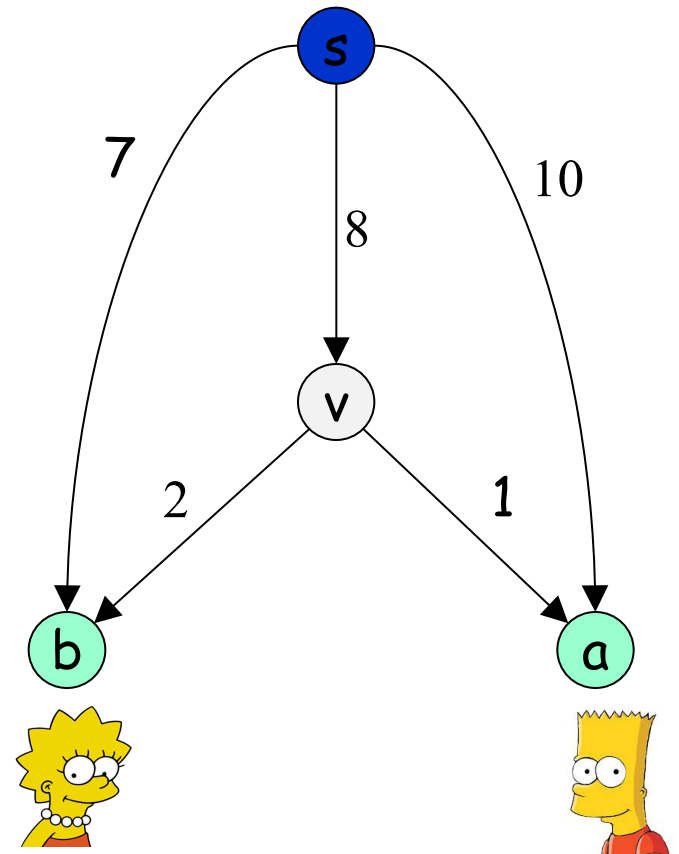
Players that need to transmit messages between locations in the network.

# A network formation game: example

Two players need to transmit messages from **s**

Player 1  needs to reach **a**

Player 2  needs to reach **b**




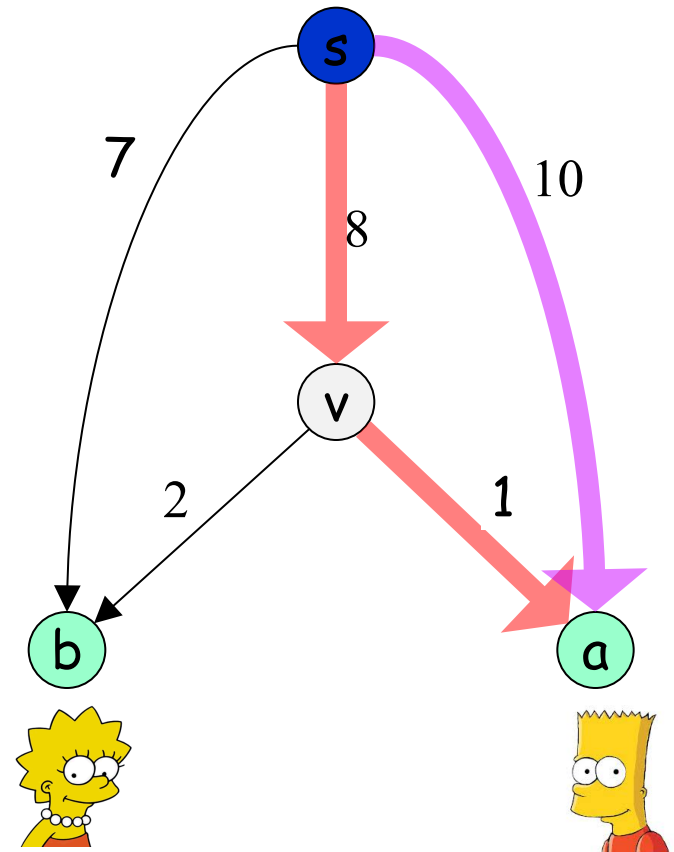
# A network formation game: example

Two players need to transmit messages from **s**

Player 1  needs to reach **a**

Player 2  needs to reach **b**

The strategy space of  :  
 $\{ \langle \langle s,v \rangle, \langle v,a \rangle \rangle, \langle \langle s,a \rangle \rangle \}$




# A network formation game: example

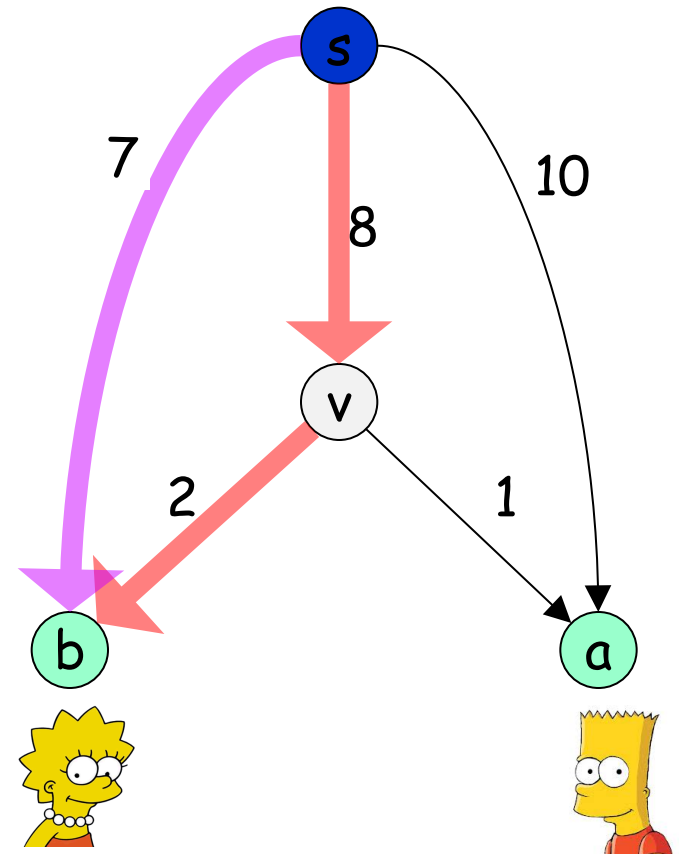
Two players need to transmit messages from **s**

Player 1  needs to reach **a**

Player 2  needs to reach **b**

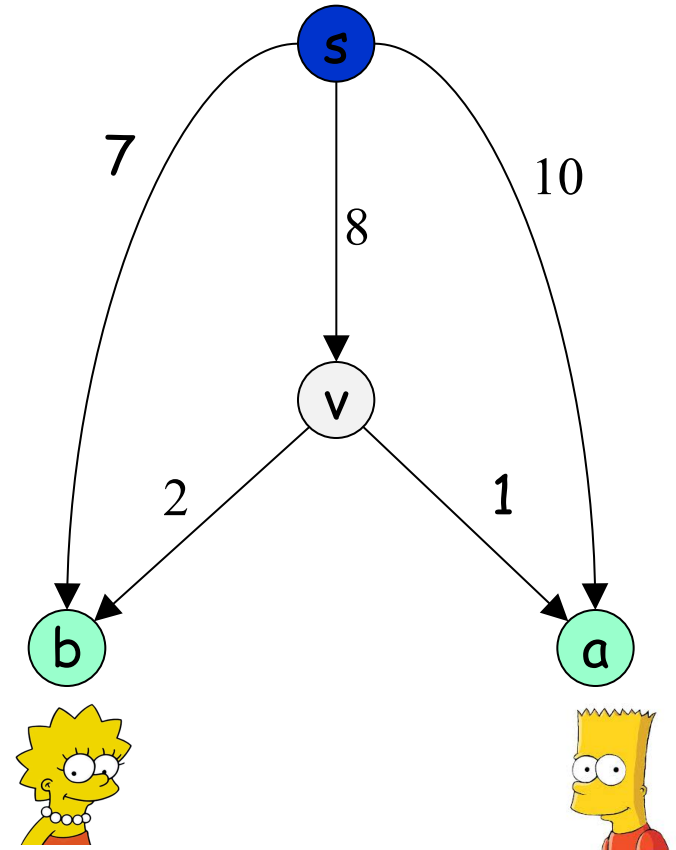
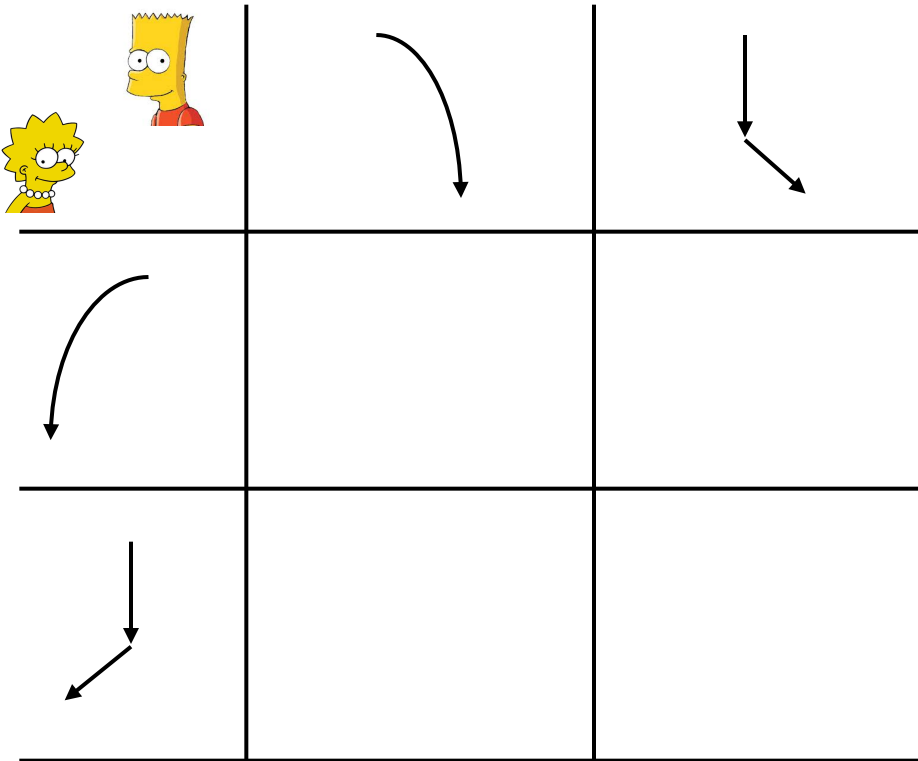
The strategy space of  :  
 $\{ \langle \langle s, v \rangle, \langle v, a \rangle \rangle, \langle \langle s, a \rangle \rangle \}$

The strategy space of  :  
 $\{ \langle \langle s, b \rangle \rangle, \langle \langle s, v \rangle, \langle v, b \rangle \rangle \}$



A **profile** is a choice of strategy for each player.

Four possible **profiles** in our example:

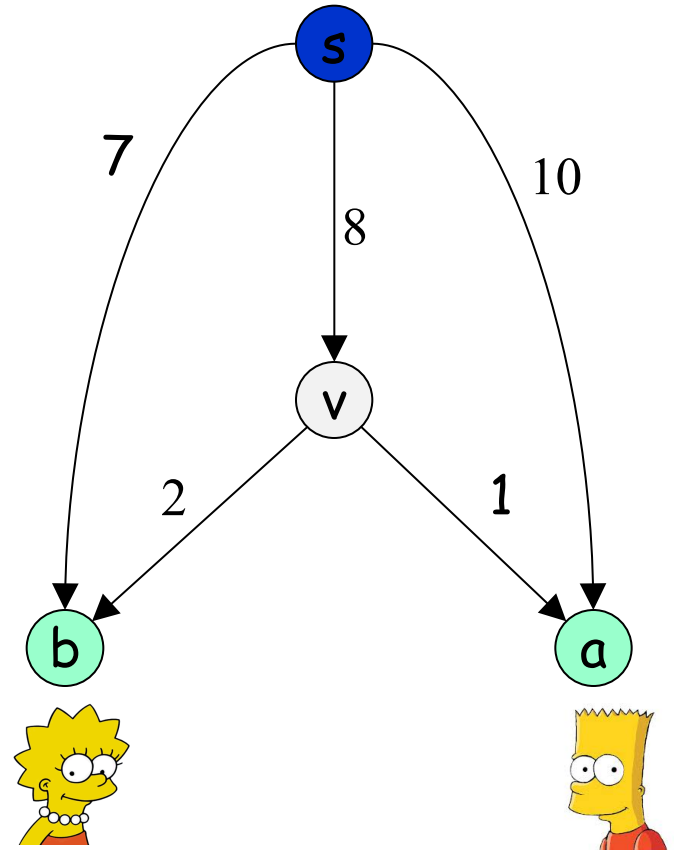
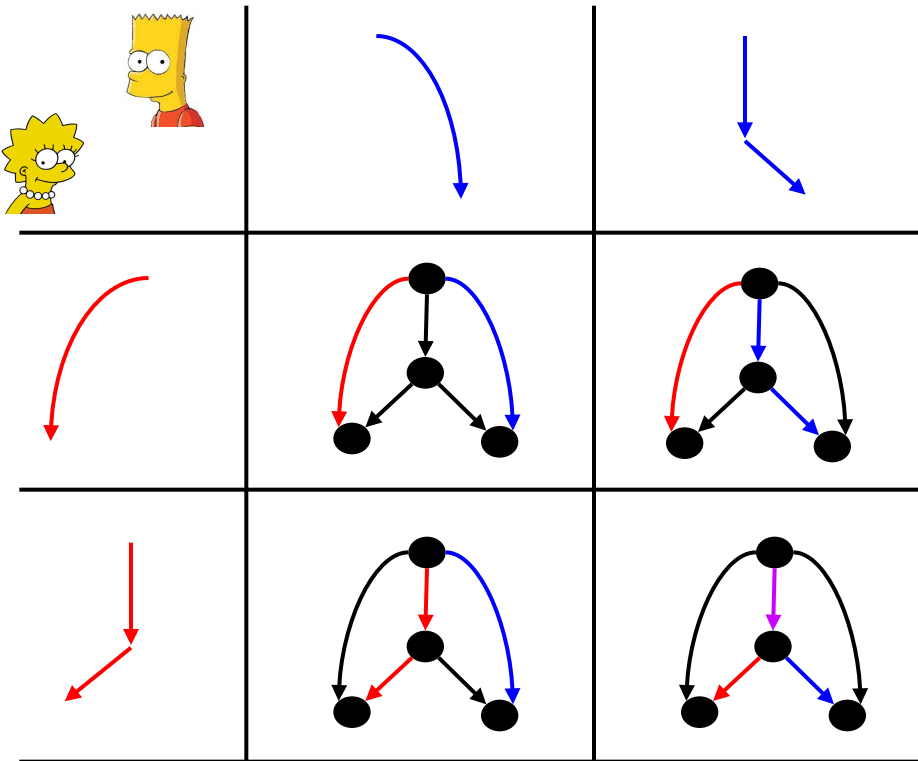


What are the payments?



A **profile** is a choice of strategy for each player.

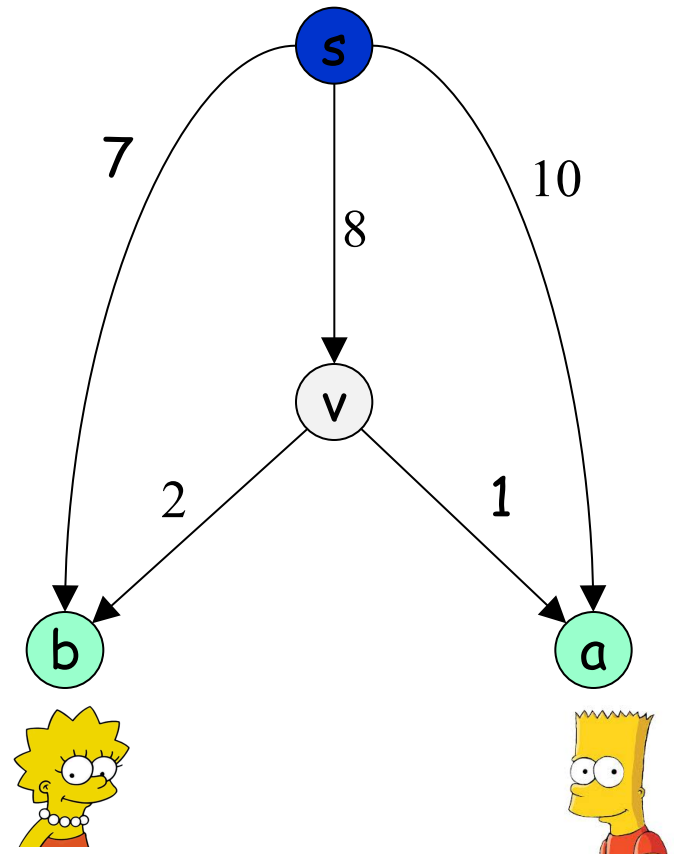
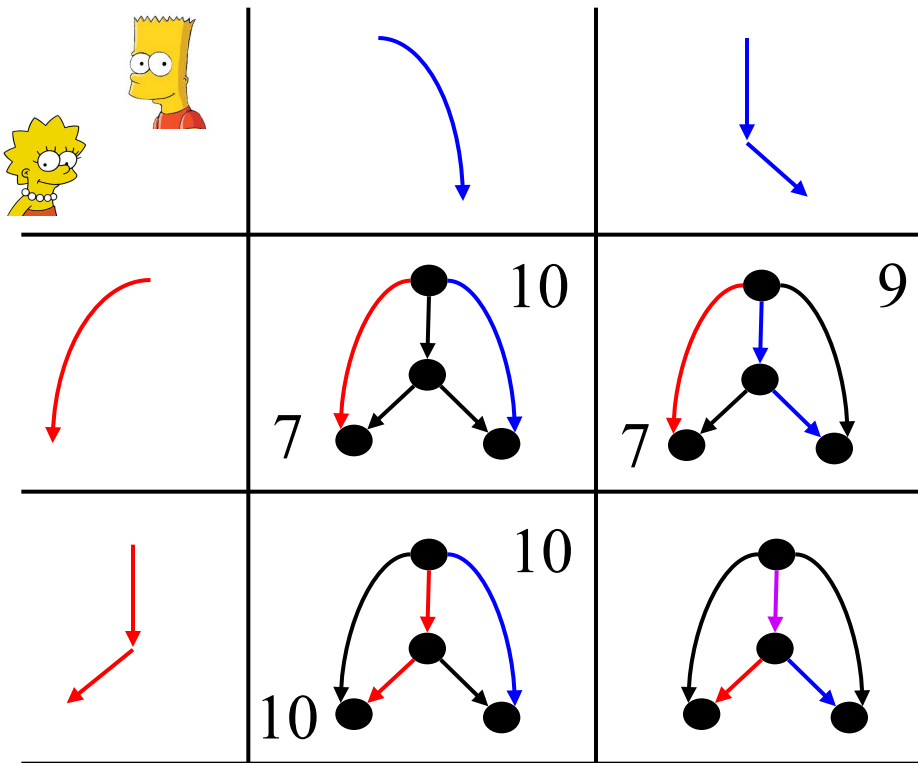
Four possible **profiles** in our example:



What are the payments?

A **profile** is a choice of strategy for each player.

Four possible **profiles** in our example:



What are the payments?

How is a cost shared?

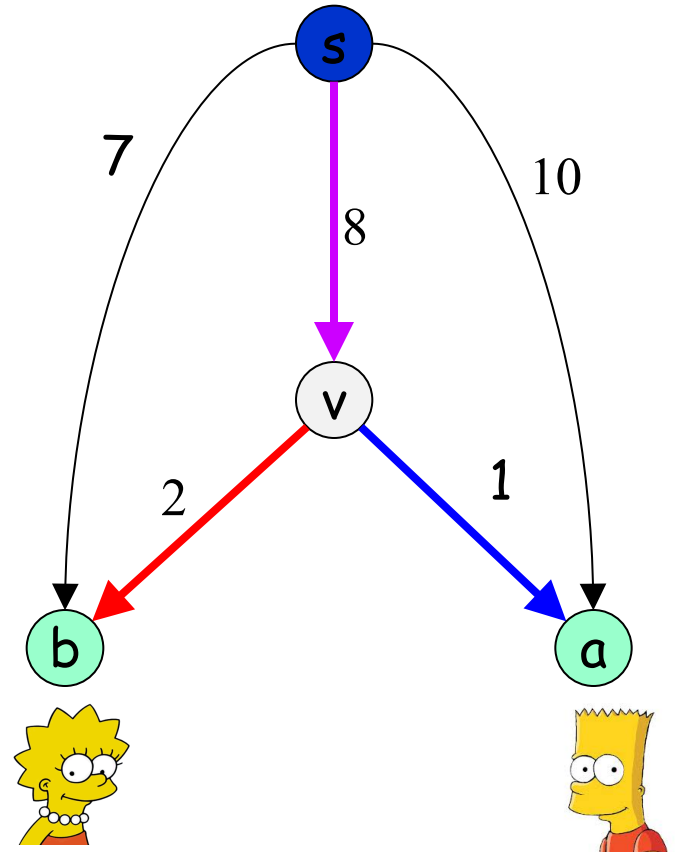
Players that use the same channel share its cost:



$$\frac{8}{2} + 2 = 6$$

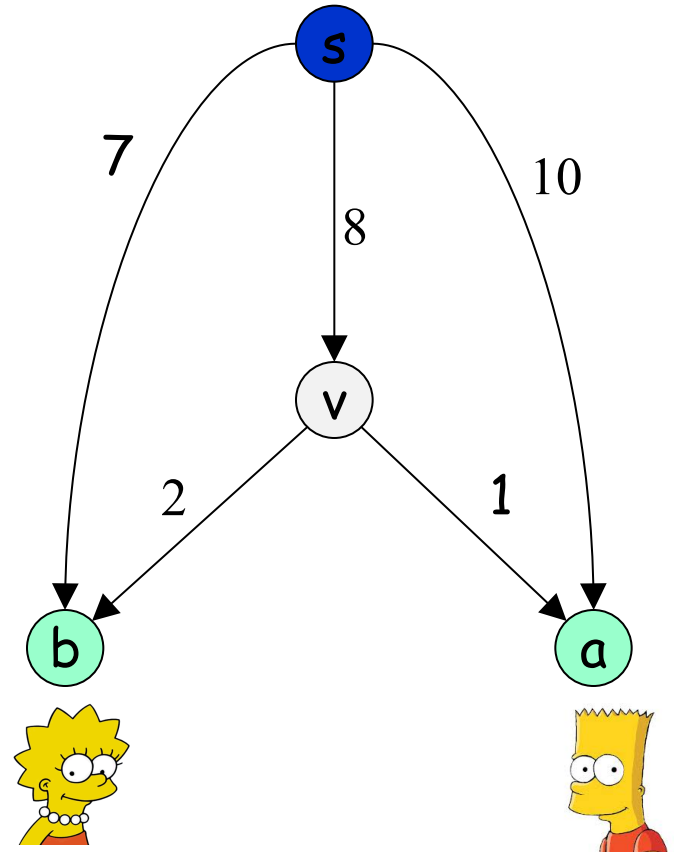
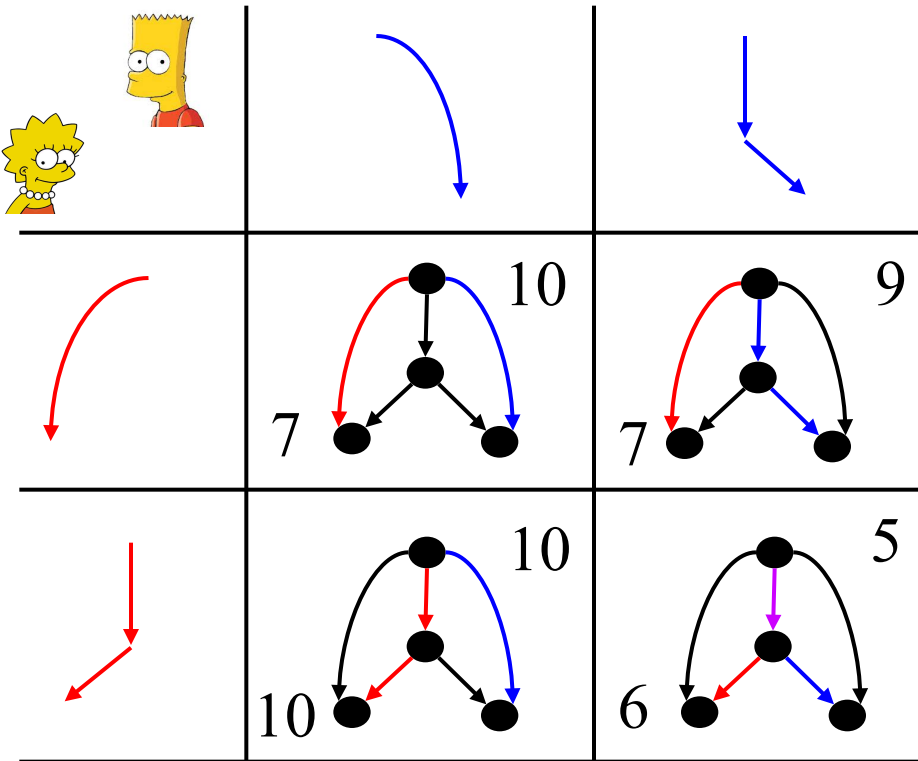


$$\frac{8}{2} + 1 = 5$$



A **profile** is a choice of strategy for each player.

Four possible **profiles** in our example:



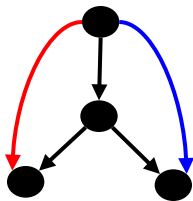
## Best response dynamics (BRD):


- A local search method: in each step some player is chosen and plays his best-response strategy, given the strategies of the others.
- BRD converges when no player wants to change his strategy.



# Best response dynamics.

Example: starting from



Cost for  :10

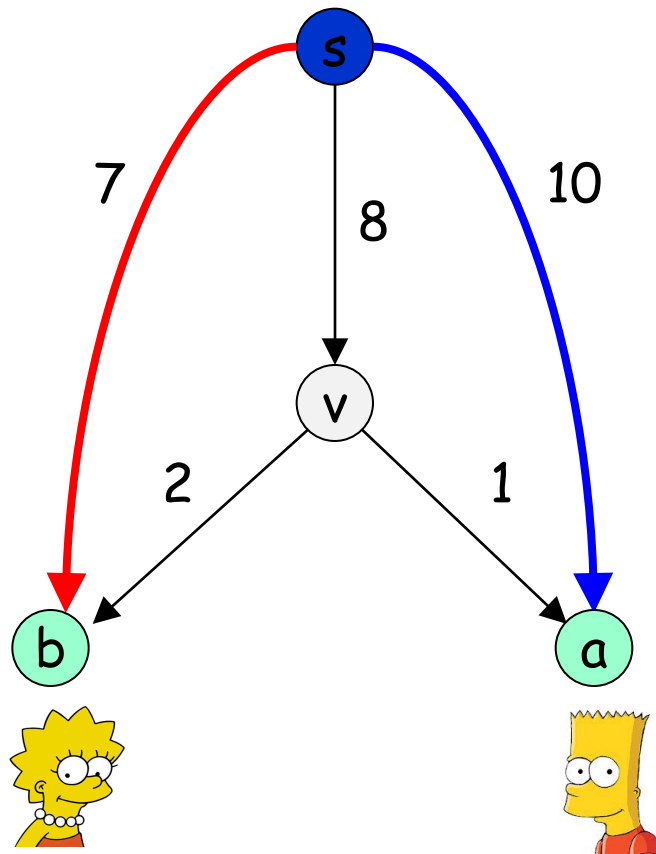
Cost for  :7

 , want to change strategy?


No,  $7 < 10$

 , want to change strategy?


Sure,  $9 < 10$



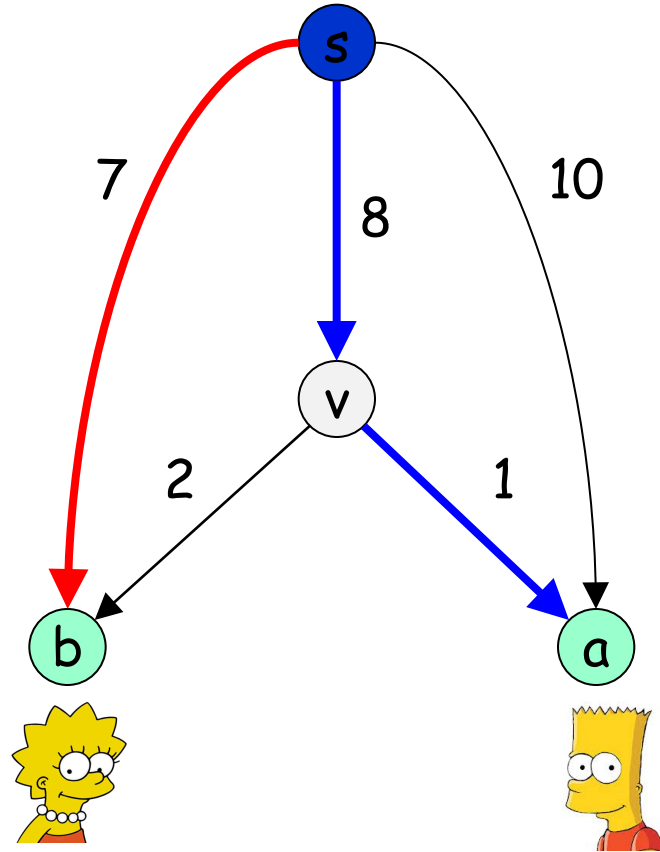
# Best response dynamics.

Cost for  :9


Cost for  :7

 , want to change strategy?

Yes,  $6 < 7$




# Best response dynamics.

Cost for  :5

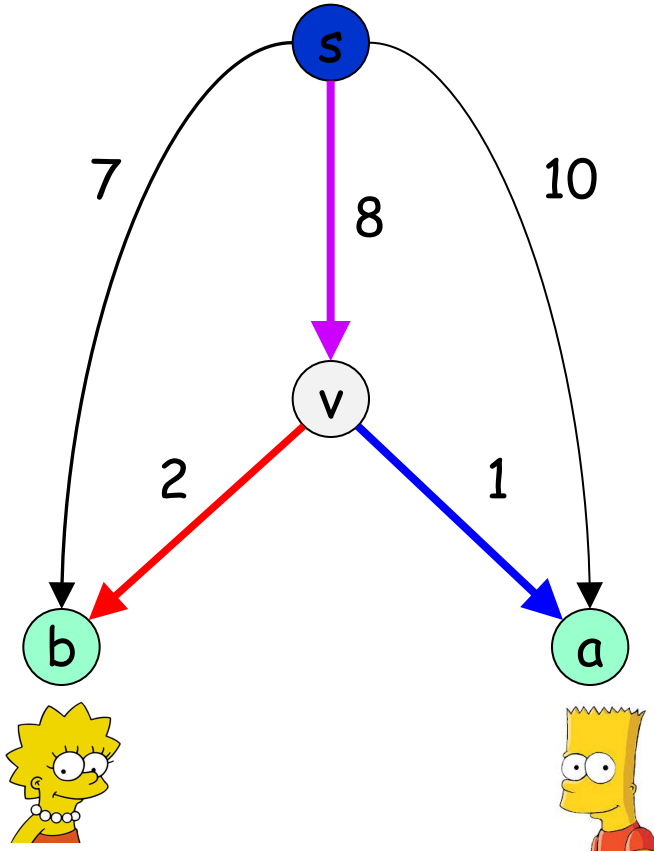
Cost for  :6

 , want to change strategy?

No,  $5 < 10$

 , want to change strategy?

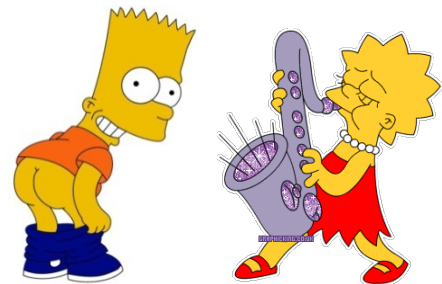
No,  $6 < 7$



  BRD halts, we've reached a stable profile.



**Nash Equilibria (NE):** a profile of strategies such that no player can benefit from changing to another strategy (assuming the other players stay with their strategies).



BRD halts, we've reached a stable profile.

## Interesting questions:

- Does best response dynamics always converge?



**Yes!** In all network formation games.

**Proof:** potential functions.

If profile  $P'$  is obtained by applying a best-response in profile  $P$ , then  $\Phi(P') < \Phi(P)$ .

## Interesting questions:

- Does best response dynamics always converge?
- Will we reach a good Nash equilibrium?

What is "good"?

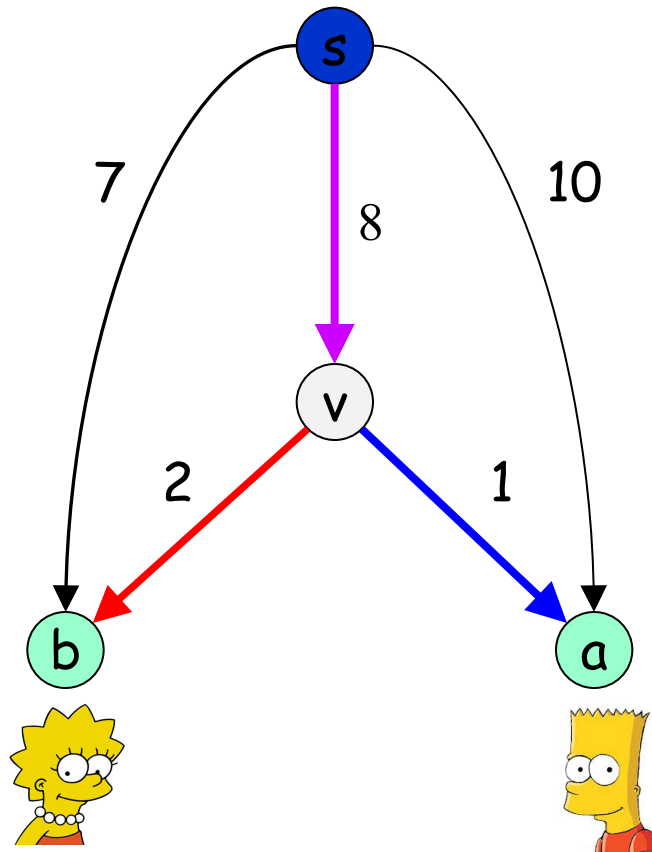
**Social optimum (SO):** minimizes the sum of the payments of all players together.

**Good:** equal (or at least close) to the social optimum.

How much do we lose from the absence of a centralized authority?



In our example:



$$SO = NE = 11$$

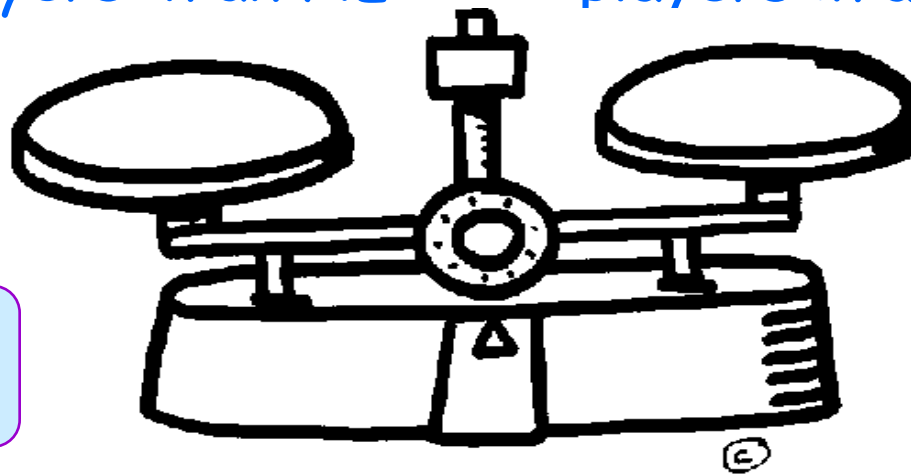
# Interesting questions:



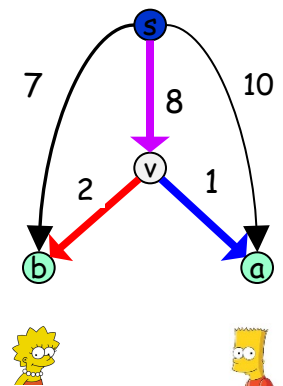
Will we reach a good Nash equilibrium?

Payments of the players in an NE

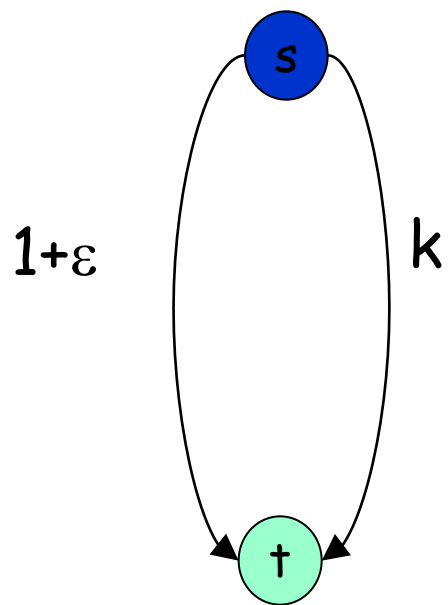
Payments of the players in a SO



NO!

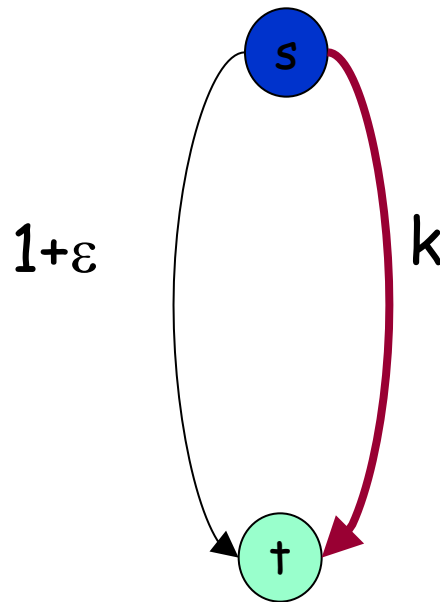


An NE may not be good!



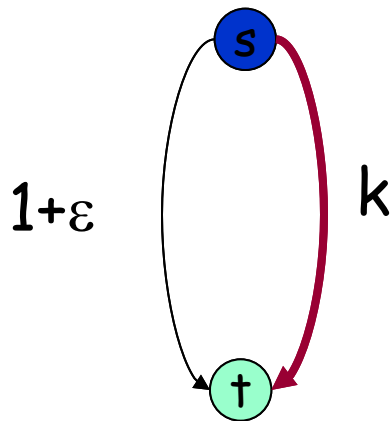
# An NE may not be good!

- $k$  players, all want to route from  $s$  to  $t$
- All  $k$  players start in the channel that costs  $k$ .



Each player pays  $\frac{k}{k}=1$

# An NE may not be good!



Now I am paying 1.  
If I switch I would  
need to pay  $1+\epsilon$

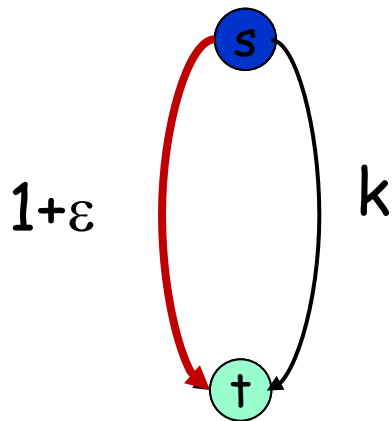
- No one wants to switch!  
A very bad NE.  
Price of Anarchy =  $k$

PoA: worst NE / SO.





# An NE may not be good!



Now I am paying 1.  
If I switch I would  
need to pay  $1+\varepsilon$



- No one wants to switch!  
A very bad NE.

Price of Anarchy =  $k$

- But, a good NE does exist.

Does there always exist a good NE?

## Does there always exist a good NE?

For every network formation game, there exists a good NE - one whose cost is at most  $H_k$  · SO.

$$H_0 = 0,$$
$$H_k = 1 + \frac{1}{2} + \frac{1}{3} + \dots + \frac{1}{k} \approx \ln k$$

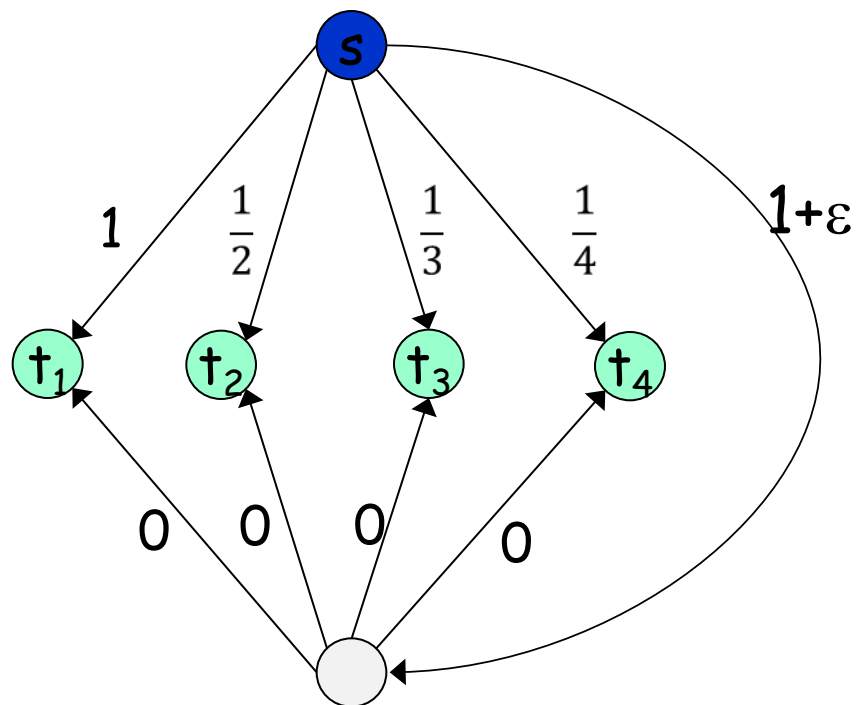
Price of stability: best NE / SO.



$H_k$  is tight...

Does there always exist a good NE?

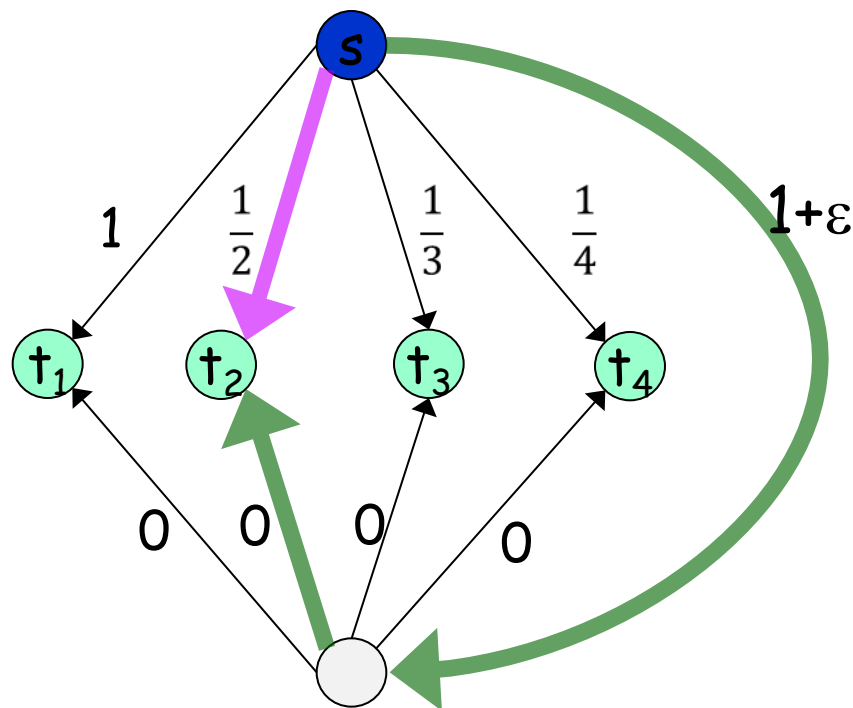
Four players want to route in the following network:



# Does there always exist a good NE?

Four players want to route in the following network:

Each player has two possible strategies:  
A **direct edge** or **via the vertex at the bottom.**



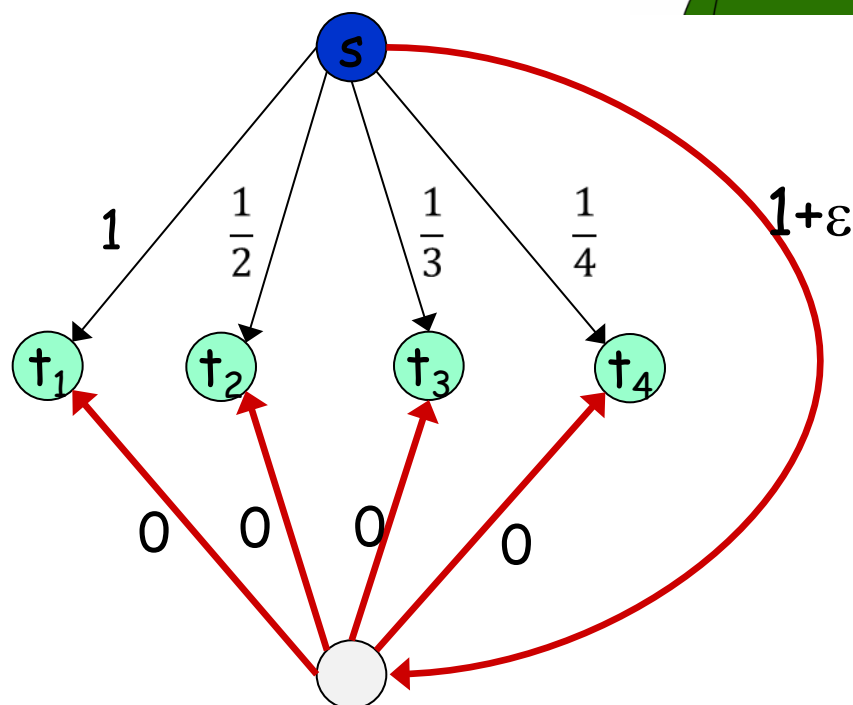
# Does there always exist a good NE?



A profile that attains the social optimum:

Note: it costs  $1+\varepsilon$ .

In this profile each player pays  $\frac{1}{4}+\varepsilon$ .

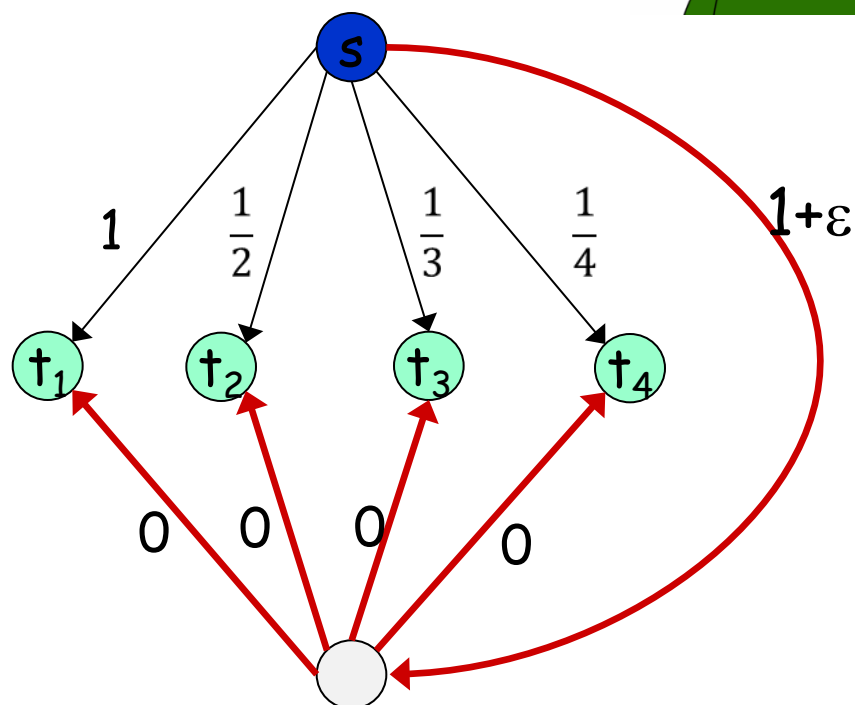


Does there always exist a good NE?

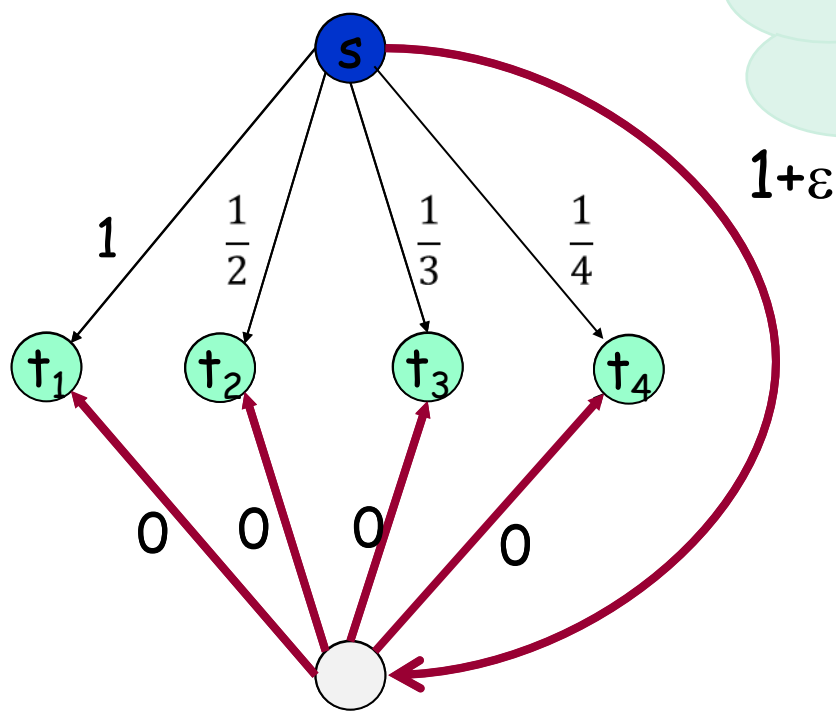


A profile that attains the social optimum:

But this is not an NE!



Does there always exist a good NE?

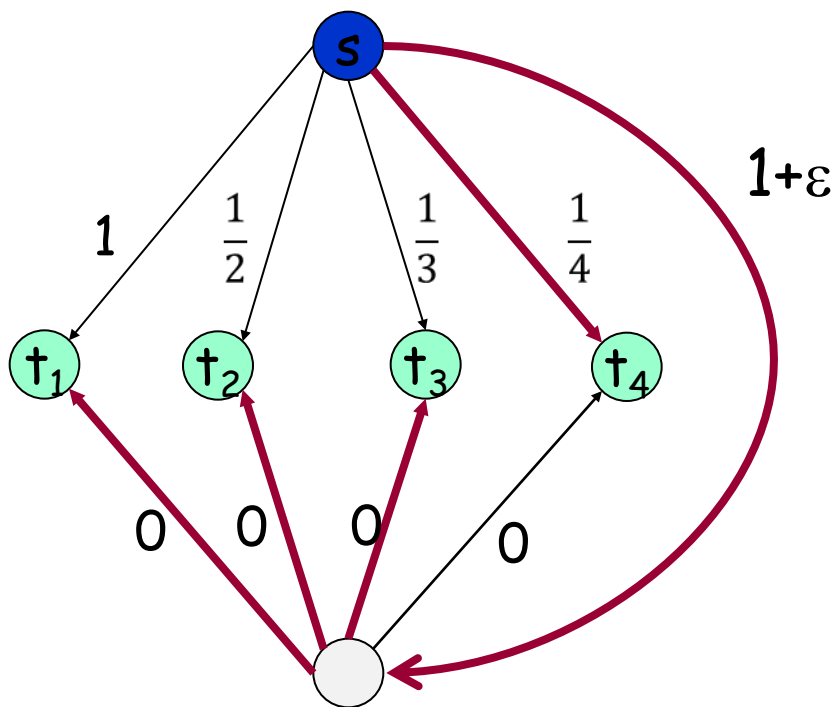


Why do I pay  $\frac{1}{4}+\epsilon$  if I can pay exactly  $\frac{1}{4}$ ?



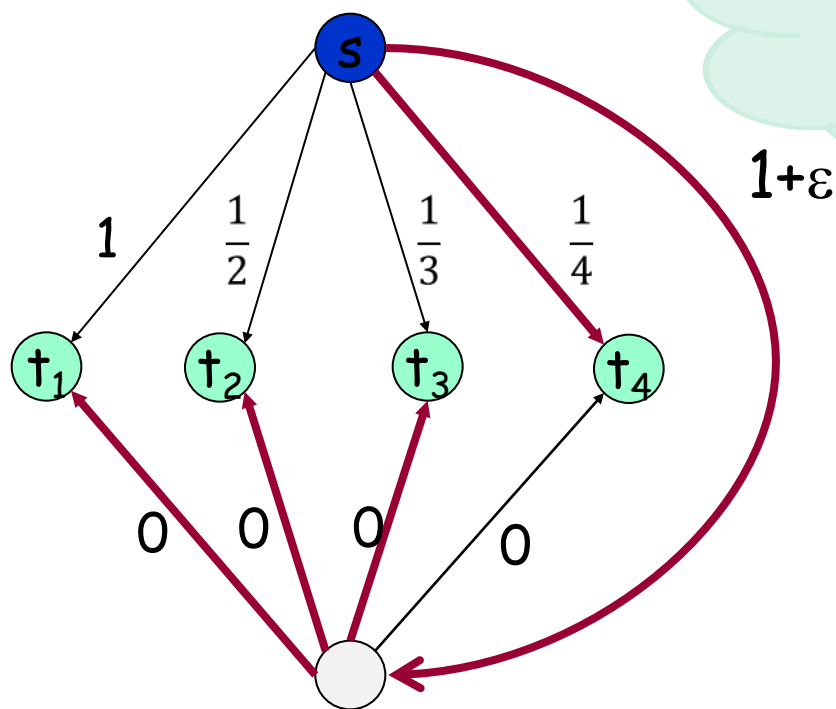
Player 4

Does there always exist a good NE?





Does there always exist a good NE?

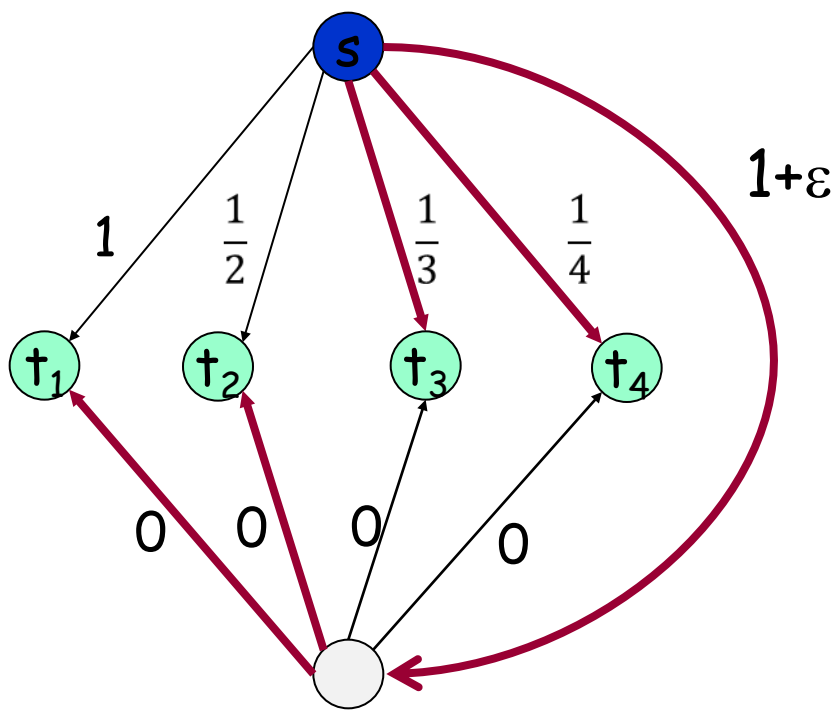


Why do I pay  $\frac{1}{3}+\epsilon$  if I can pay exactly  $\frac{1}{3}$ ?

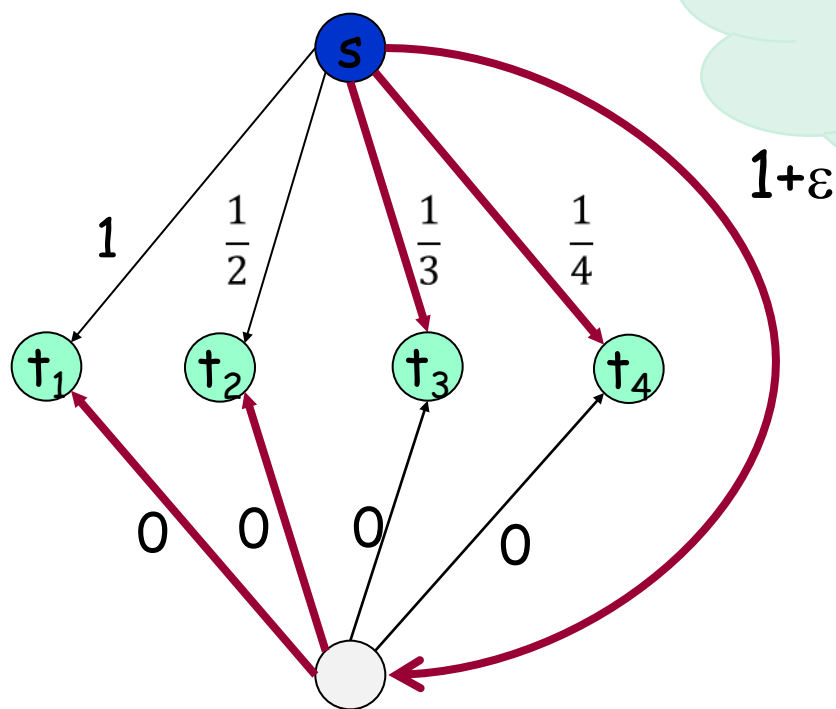


Player 3

Does there always exist a good NE?



Does there always exist a good NE?

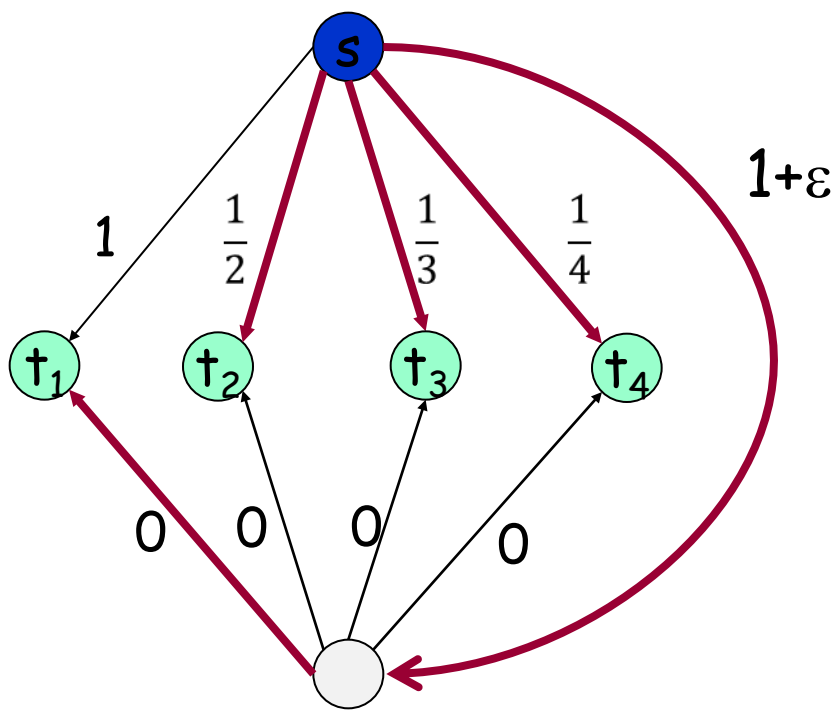


Why do I pay  $\frac{1}{2}+\epsilon$  if I can pay exactly  $\frac{1}{2}$ ?

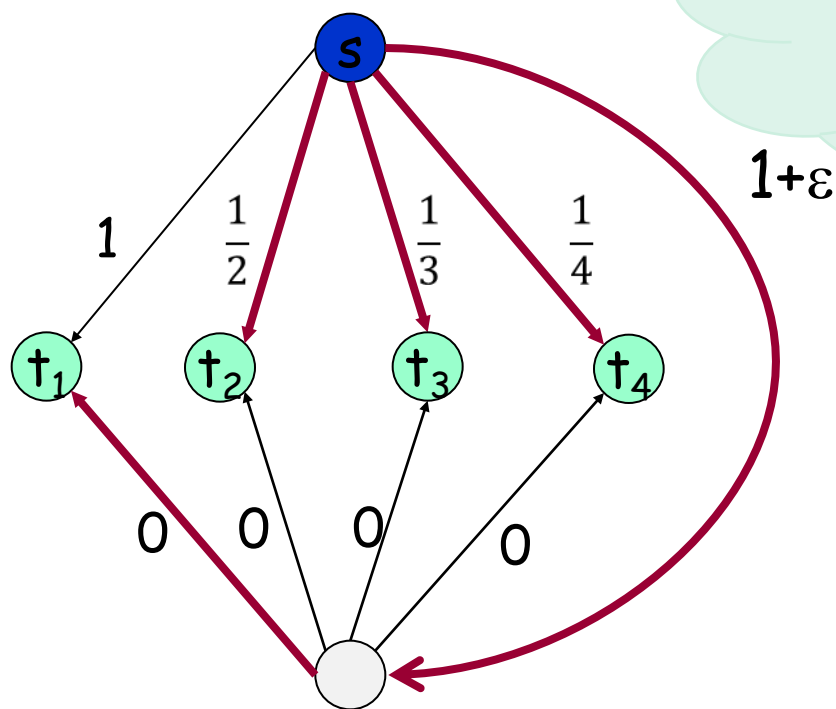


Player 2

Does there always exist a good NE?



Does there always exist a good NE?

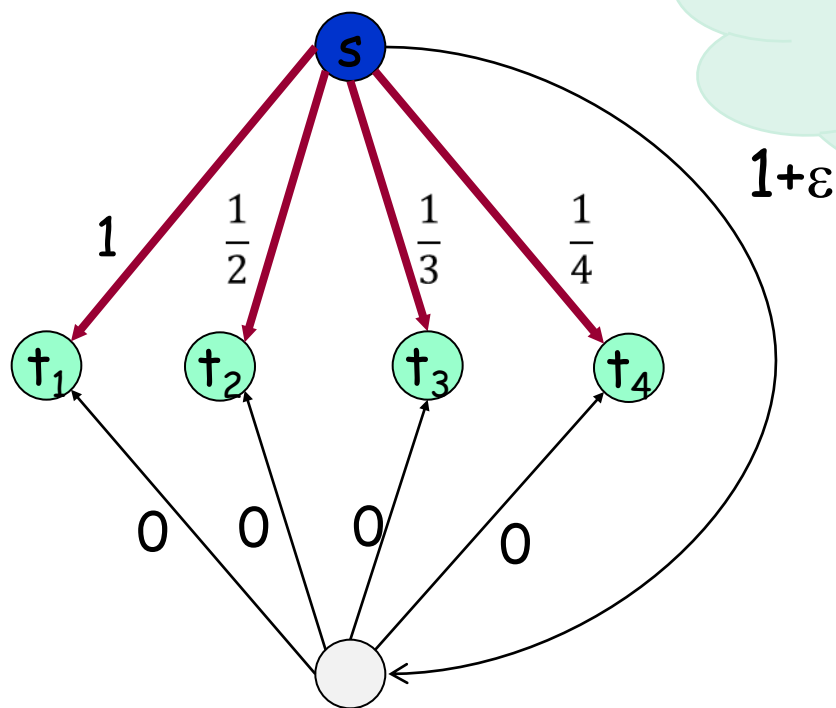


Damn, they left me  
alone with the  $1+\epsilon$ ...



Player 1

Does there always exist a good NE?

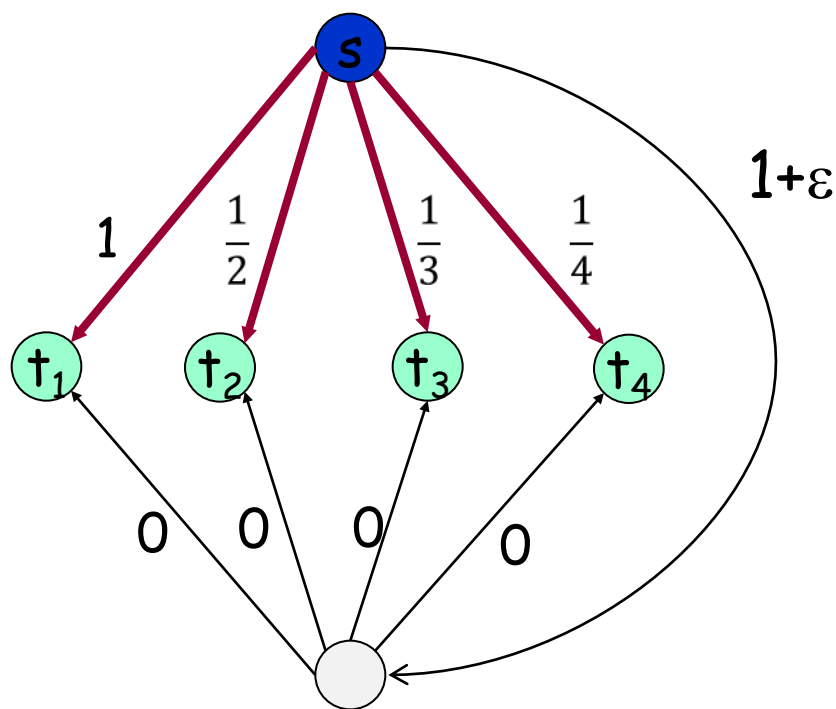


Damn, they left me  
alone with the  $1+\epsilon$ ...



Player 1

Does there always exist a good NE?



The price of the **only** stable (NE) profile:

$$1 + \frac{1}{2} + \frac{1}{3} + \frac{1}{4}$$

There is no good NE!

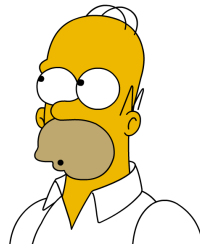
# So, network formation games:



- Players have reachability objectives.
- Players that share a channel, share its cost.
- Nash Equilibrium (NE): a stable profile in which no player has an incentive to change his strategy
  - always exists in network formation games.
- Social Optimum (SO): a profile that minimizes the players' payments.
- Price of anarchy: worst NE/SO.
  - $PoA = k$  in network formation games.
- Price of stability: best NE /SO.
  - $PoS = H_k \approx \log k$  in network formation games.



BTW: [Avni, Kupferman, Tamir, 2013]



- Players may have **regular objectives** (in a labeled network).
- Strategies: paths that **need not be simple**.
- Players that share a channel, **share its cost proportionally**.
  
- An NE need not exist
- $PoS = PoA = k$ .
- ...

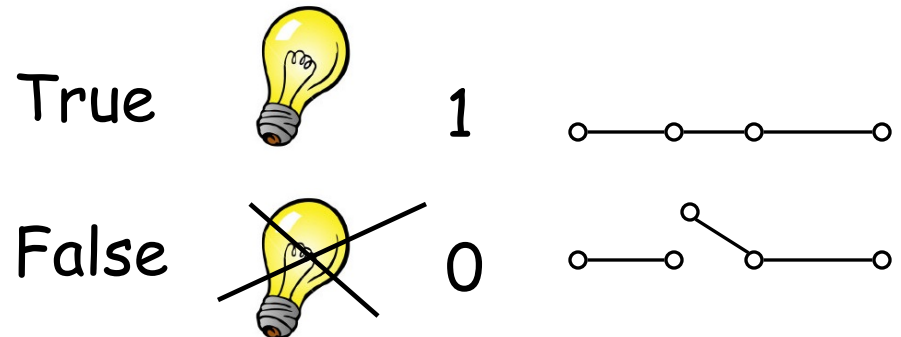
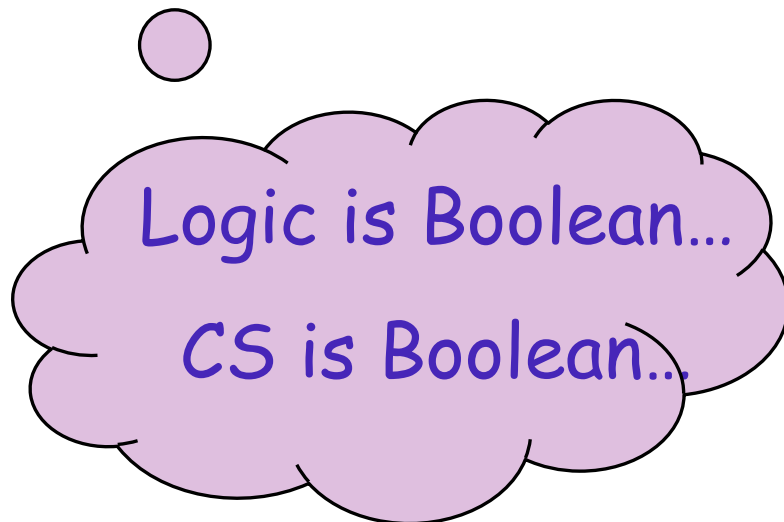
# Back to Rational Synthesis

A stable (NE) profile  $P = \langle f_0, \dots, f_k \rangle$ :

for every  $i$ , if  $\varphi_i$  is not satisfied in  $P$ , then  $\varphi_i$  is not satisfied also in  $P[i \leftarrow f'_i] = \langle f_0, \dots, f'_i, \dots, f_k \rangle$ , for all alternative strategies  $f'_i$  for  $P_i$ .

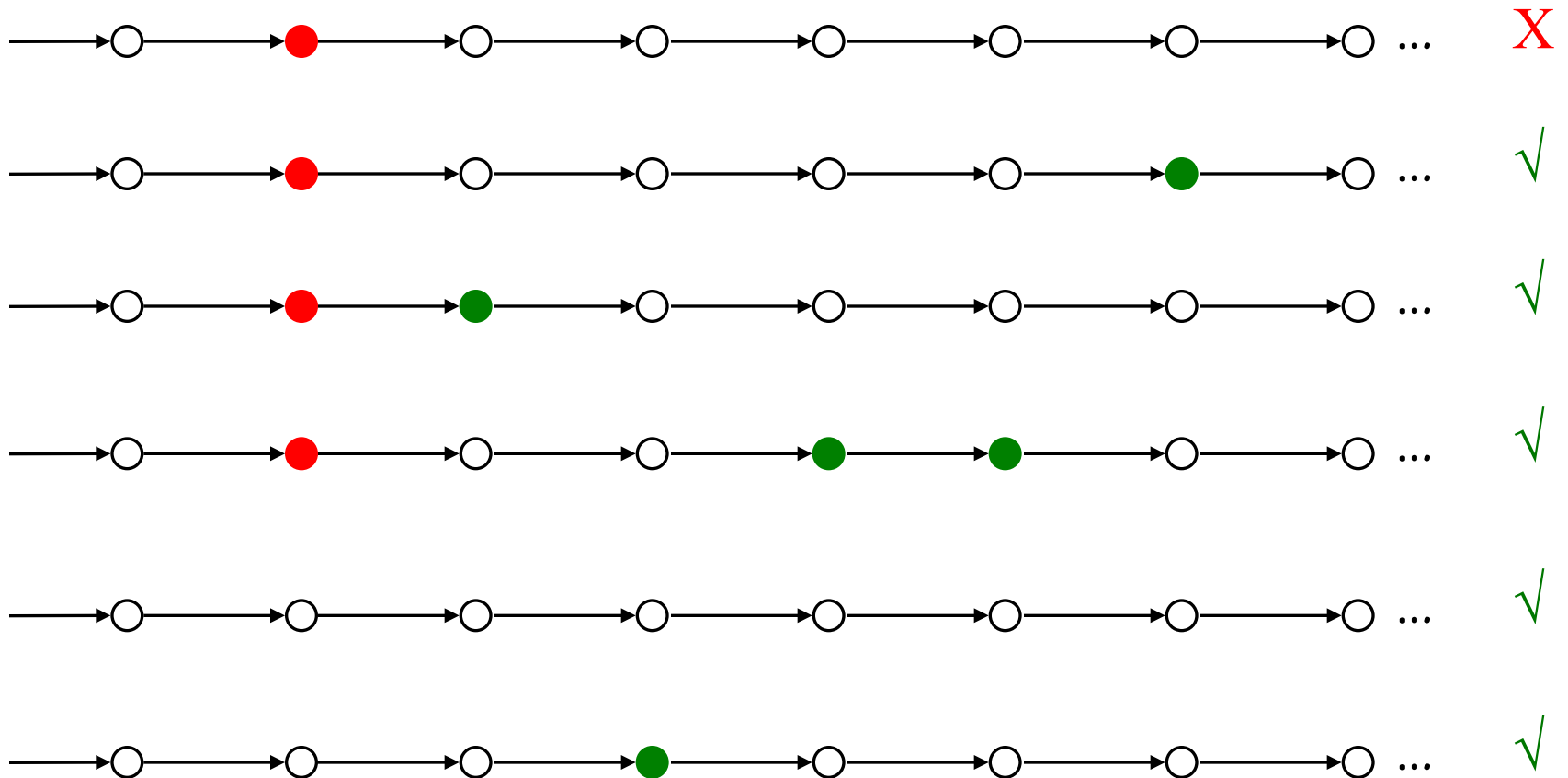
The objectives are Boolean!

- Network formation games: quantitative objectives!



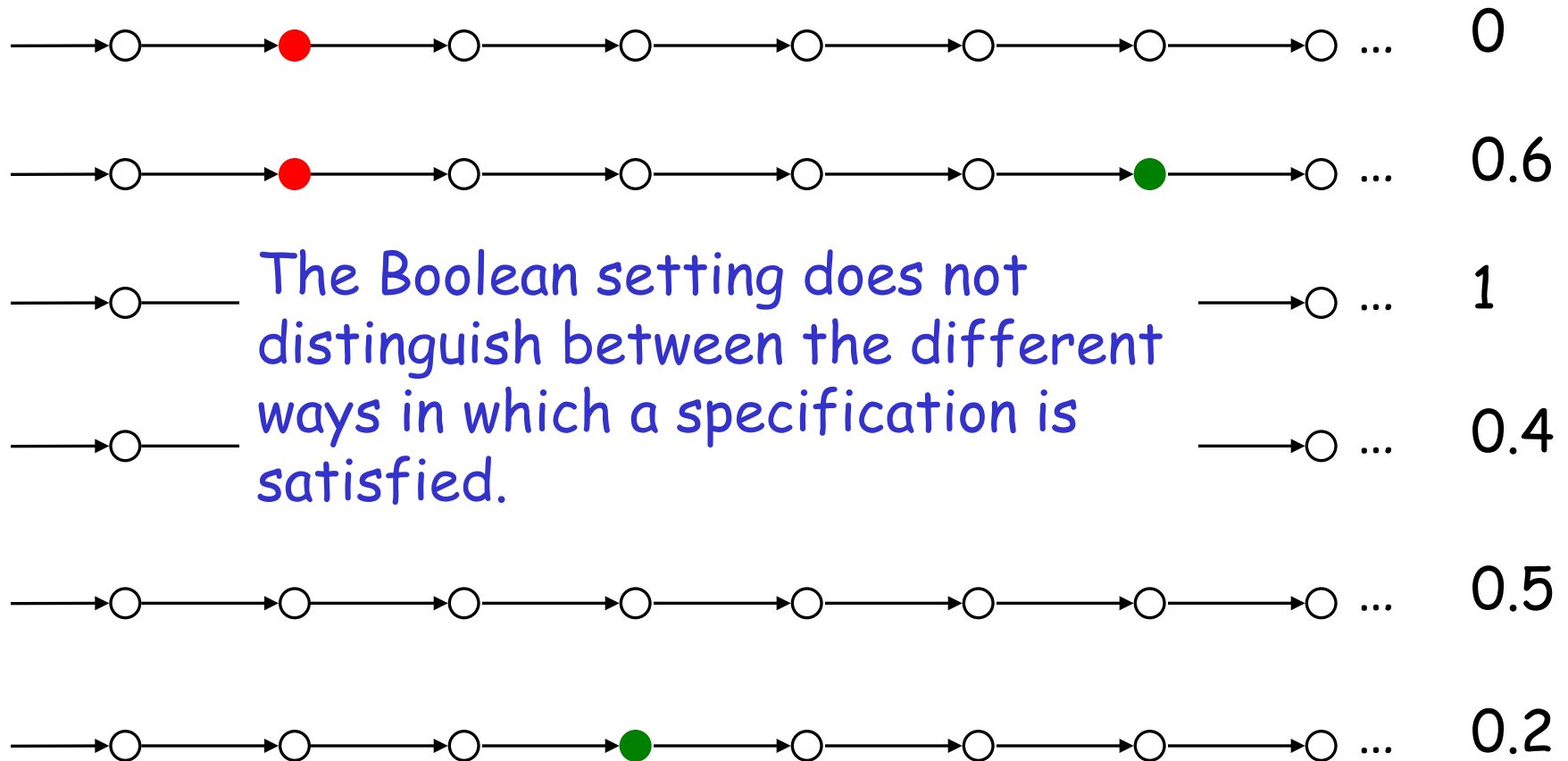
# Is satisfaction really Boolean?

ALWAYS(request  $\rightarrow$  EVENTUALLY grant)



# Is satisfaction really Boolean?

ALWAYS(request → EVENTUALLY grant)



Behavioral quality: [Almagor,Boker,Kupferman 2014]

The logics LTL[F] and LTL[D]:  
multi-valued extensions of LTL.

LTL[F]:

The satisfaction value of an LTL[F] formula is in  $[0,1]$ .

0: "very bad". 1: very good.

F: a set of propositional-quality operators.

A k-ary operator  $f:[0,1]^k \rightarrow [0,1]$

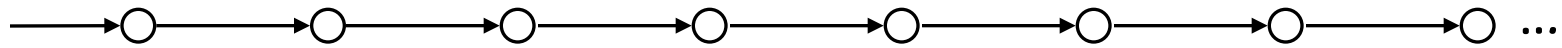
Examples:  $x \wedge y$   $\min(x,y)$ ,  $x \vee y$   $\max(x,y)$ ,  $\neg x$   $1-x$

## Semantics of LTL[F]:

$[[\pi, \psi]]$  : the satisfaction value of  $\psi$  in  $\pi$ .

Indeed only finitely many possible values

$$[[\pi, \varphi_1 \cup \varphi_2]] = \max_{i \geq 0} \{ \min\{[[\pi^i, \varphi_2]], \min_{i > j \geq 0} \{[[\pi^j, \varphi_1]]\}\} \}$$



$\varphi_2$	0	0	0.3	0	0.6	0	0.8	0
$\varphi_1$	0.5	0.5	0.5	0.5	0.7	0.5	0.5	0.5



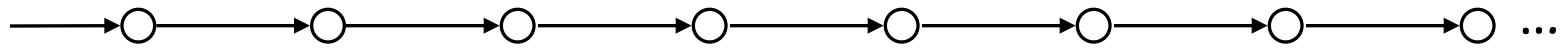
0.3



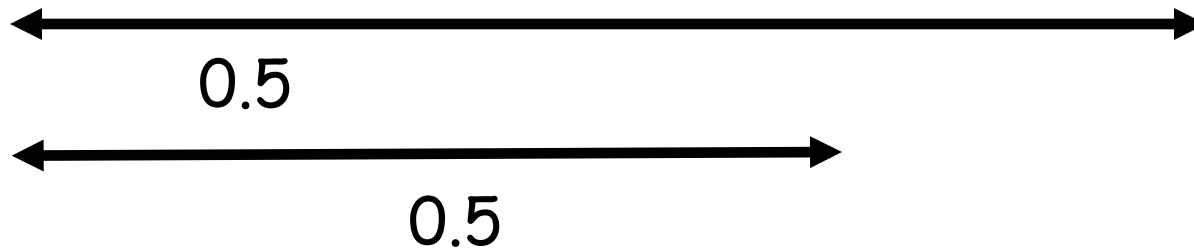
0.5

$$[[\pi, \varphi_1 \cup \varphi_2]] = \bigvee_{i \geq 0} \{ \bigwedge\{[[\pi^i, \varphi_2]], \bigwedge_{i > j \geq 0} \{[[\pi^j, \varphi_1]]\}\} \}$$

$$[[\pi, \varphi_1 \cup \varphi_2]] = \max_{i \geq 0} \{ \min\{[[\pi^i, \varphi_2]], \min_{i > j \geq 0} \{[[\pi^j, \varphi_1]]\}\} \}$$



$\varphi_2$	0	0	0.3	0	0.6	0	0.8	0
$\varphi_1$	0.5	0.5	0.5	0.5	0.7	0.5	0.5	0.5



$$[[\pi, \varphi_1 \cup \varphi_2]] = \bigvee_{i \geq 0} \{ \bigwedge\{[[\pi^i, \varphi_2]], \bigwedge_{i > j \geq 0} \{[[\pi^j, \varphi_1]]\}\} \}$$



## Two useful quality operators:

For a parameter  $\lambda$  in  $[0,1]$ :

$$[[\pi, \nabla_{\lambda} \varphi]] = \lambda \cdot [[\pi, \varphi]].$$

$$[[\pi, \varphi_1 \oplus_{\lambda} \varphi_2]] = \lambda \cdot [[\pi, \varphi_1]] + (1-\lambda) \cdot [[\pi, \varphi_2]].$$

## Prioritize different behaviors

$$\varphi_1 \vee \nabla_{3/4} \varphi_2 :$$

If  $\varphi_1$  holds, the satisfaction value is 1.

If only  $\varphi_2$  holds, the satisfaction value is  $3/4$ .

If none of them holds, the satisfaction value is 0.

Consider  $G(p \rightarrow Xq \vee XXq)$ .

LTL[F] variants:

$$G(p \rightarrow Xq \vee \nabla_{1/2} XXq)$$

Two q's: 1  
Only the first: 1  
Only the second:  $\frac{1}{2}$

$$G(p \rightarrow Xq \oplus_{3/4} XXq)$$

Two q's: 1  
Only the first:  $\frac{3}{4}$   
Only the second:  $\frac{1}{4}$



# Back to Rational Synthesis

A stable (NE) profile  $P = \langle f_0, \dots, f_k \rangle$ :

for every  $i$ , if  $[[P, \varphi_i]] = v$ , then  $[[P', \varphi_i]] \leq v$  for all profiles  $P' = P[i \leftarrow f'_i]$ .

Consider a profile  $P = \langle f_0, \dots, f_k \rangle$ .

utility( $P$ ) = sum of satisfaction values =

$= [[P, \psi]] + [[P, \varphi_1]] + \dots + [[P, \varphi_k]]$ .

- **SO**:  $\max P \{ \text{utility}(P) \}$ .

- **PoS**: SO / utility of best NE.

- **PoA**: SO / utility of worst NE.

Note: in NFG  
it was dual

What are they in  
rational synthesis?

# Cooperative vs. Non-cooperative RS

PoS vs. PoA

**Input:** objectives  $\psi$  and  $\varphi_1, \dots, \varphi_k$ .



**Cooperative rational synthesis:**

**Output:** a stable profile  $\langle f_0, \dots, f_k \rangle$  that satisfies  $\psi$ .

best NE!

**Non-cooperative rational synthesis:**

**Output:** a strategy  $f_0$  such that every stable profile  $\langle f_0, \dots, f_k \rangle$  satisfies  $\psi$ .

worst NE!

What are the prices of stability and anarchy in rational synthesis?

# Price of Anarchy:

$P_1, \dots, P_k$  assign values to  $x_1, \dots, x_k$

$$\varphi_1, \dots, \varphi_{k-1}: \varphi_i = \nabla_{\alpha} (x_i \wedge \neg x_k)$$

$$\alpha = (1 - \varepsilon) / (k - 1)$$

$$\varphi_k = \nabla_{\beta} (x_k \vee (x_1 \wedge x_2 \wedge \dots \wedge x_{k-1}))$$

$$\beta = \varepsilon$$

SO: TTT...TF

$$\varphi_1, \dots, \varphi_{k-1}: (1 - \varepsilon) / (k - 1) \quad \varphi_k: \varepsilon$$

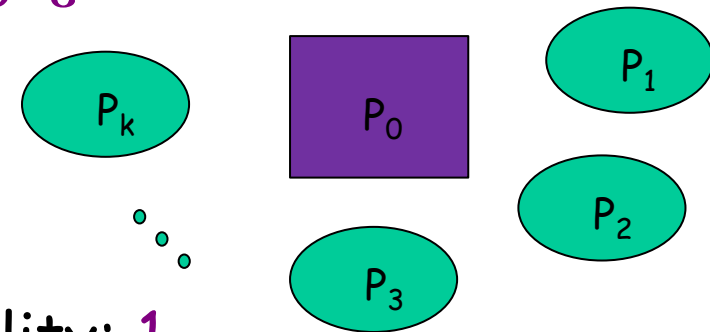
utility: 1

Worst NE: FFF...FT

$$\varphi_1, \dots, \varphi_{k-1}: 0 \quad \varphi_k: \varepsilon$$

utility:  $\varepsilon$

PoA: SO/worst NE =  $1/\varepsilon$  -- unbounded!



SO stable?

## Price of Anarchy:

$P_1, \dots, P_k$  assign values to  $x_1, \dots, x_k$

$$\varphi_1, \dots, \varphi_{k-1}: \varphi_i = \nabla_{\alpha} (x_i \wedge \neg x_k) \quad \alpha = (1-\varepsilon)/k-1$$

$$\varphi_k = \nabla_{\beta} (x_k \vee (x_1 \wedge x_2 \wedge \dots \wedge x_{k-1})) \quad \beta = \varepsilon$$

SO is stable  $\rightarrow$  SO is best NE.

best/worst NE is unbounded.

SO: T T T ... T F

$$\varphi_1, \dots, \varphi_{k-1}: (1-\varepsilon)/k-1 \quad \varphi_k: \varepsilon \quad \text{utility: } 1$$

Worst NE: F F F ... F T

Cooperative RS may be unboundedly better than non-cooperative RS!

$$\varphi_1, \dots, \varphi_{k-1}: 0 \quad \varphi_k: \varepsilon \quad \text{utility: } \varepsilon$$

PoA: SO/worst NE =  $1/\varepsilon$  -- unbounded!

## Price of Stability:

$P_1, \dots, P_k$  assign values to  $x_1, \dots, x_k$

$$\varphi_1, \dots, \varphi_{k-1}: \varphi_i = \nabla_{\alpha} (x_1 \wedge x_2 \wedge \dots \wedge x_{k-1} \wedge x_k) \quad \alpha = (1-\varepsilon)/k-1$$

$$\varphi_k = \nabla_{\beta} (x_1 \wedge x_2 \wedge \dots \wedge x_{k-1} \wedge \neg x_k) \quad \beta = \varepsilon$$

SO: TTT...T

stable?

$$\varphi_1, \dots, \varphi_{k-1}: (1-\varepsilon)/k-1 \quad \varphi_k: 0 \quad \text{utility: } 1-\varepsilon$$

no!

Best NE: TTT...TF

$$\varphi_1, \dots, \varphi_{k-1}: 0 \quad \varphi_k: \varepsilon \quad \text{utility: } \varepsilon$$

PoS: SO/best NE =  $(1-\varepsilon)/\varepsilon$  -- unbounded!

## To Sum Up:



- **Synthesis of open systems:** winning strategy in a zero-sum game.
- **Rationality** assumption on the environment.  
Transition to **non-zero-sum game**.
- **Classical game theory:** quantitative utilities.  
Price of stability, price of anarchy.
- **LTL[F]:** quantitative specifications.
- **Cooperative rational synthesis:** PoS, unbounded.
- **Non-cooperative rational synthesis:** PoA, unbounded.



## We did not see:

- **Solving rational synthesis:** connection with strategy logic.
- **Rational verification:** does  $S$  satisfy  $\psi$  in every rational? [Wooldridge, Gutierrez, Harrenstein, Marchioni, Perelli 2016]
- **Fixing systems** by making them stable.
- **Richer settings:** incomplete information, probability, other solution concepts.





Thank you