Formal Languages and Automata for Reward Function Specification and Efficient Reinforcement Learning

CIFAR

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How do we decide how to act?

How do we decide how to act?

... and what informs this decision making?





Reinforcement Learning (RL)



Following Sutton and Barto, 2018

How do we decide how to act?





 $\pi: S \to A$





How do we advise, instruct, task, ... and impart knowledge to our AI that learns?

... and how do they use that knowledge to learn?

Reinforcement Learning (RL)



Following Sutton and Barto, 2018

Q-Learning

$$Q^{new}(s_t, a_t) \leftarrow Q(s_t, a_t) + \alpha * (r_t + \gamma * max_a Q(s_{t+1}, a) - Q(s_t, a_t))$$

Q-Learning

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Reinforcement Learning (RL)



Following Sutton and Barto, 2018



- Reward Specification: It's hard to define reward functions for complex tasks.
- **Sample Efficiency:** RL agents might require billions of interactions with the environment to learn good policies.



Photo: Javier Pierin (Getty Images)

Goals and Preferences

- Run the dishwasher when it's full or when dishes are needed for the next meal.
- Make sure the bath temperature is between 38 43 celcius immediately before letting someone enter the bathtub.
- Do not vacuum while someone in the house is sleeping.

How do we communicate this to our RL agent?

Linear Temporal Logic (LTL)

A compelling logic to express temporal properties of traces.

Syntax



Properties

- Interpreted over finite or infinite traces.
- Can be transformed into automata.

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Goals and Preferences

• Do not vacuum while someone is sleeping

always[¬ (vacuum ∧ sleeping)]

How do we communicate this to our RL agent?

Remember Chomsky Hierarchy?





Noam Chomsky

Automata

REWARD MACHINES

The Rest of the Talk

- Reward Machines (RM)
- Exploiting RM Structure in Learning
- Experiments
- Creating Reward Machines
- Recap

Running Example

 В		*		*		С	
	⁵⁵⁵						
*		0		\bowtie		 *	
					<u>ور</u>		
Α		*		*		D	

Symbol	Meaning				
	Agent				
*	Furniture				
	Coffee Machine				
\bowtie	Mail Room				
0	Office				
A, B, C, D	Marked Locations				

Task: Visit A, B, C, and D, in order.

Reward Function



count = 0 # global variable					
<pre>def get_reward(s):</pre>					
if count == 0 and state.at("A"):					
if count == 1 and state.at("B"):					
if count == 2 and state.at("C"): count = 3					
<pre>if count == 3 and state.at("D"): count = 0</pre>					
return 1 return 0					

Task: Visit A, B, C, and D, in order.

Define a Reward Function using a Reward Machine



Encode reward function in an automata-like structure using a vocabulary $P = \{\textcircled{b}, \boxtimes, o, *, A, B, C, D\}$

Reward Function Vocabulary

Vocabulary can comprise **human-interpretable events/properties** realized via detectors over the environment state, or it can (conceivably) be **learned**.

Reward Machine

Reward Machine



Reward Machine

Reward Machine

• finite set of states U


Reward Machine

- finite set of states U
- initial state $u_0 \in U$



Reward Machine

- finite set of states U
- initial state $u_0 \in U$
- set of transitions labelled by:



Reward Machine

- finite set of states U
- initial state $u_0 \in U$
- set of transitions labelled by:
 - A logical condition (guards)
 - A reward function (or constant)



Conditions are over properties of the current state:

 $P = \{ \stackrel{\text{\tiny sys}}{\blacksquare}, \boxtimes, o, *, A, B, C, D \}$

Reward Machine

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- initial state $u_0 \in U$
- set of transitions labelled by:
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 $P = \{ \stackrel{\text{\tiny sh}}{\blacksquare}, \boxtimes, o, *, A, B, C, D \}$

A Reward Machine is a **Mealy Machine** over the input alphabet $\Sigma = 2^{P}$, whose output alphabet is a set of Markovian reward functions.

Definition 3.1 (reward machine). Given a set of propositional symbols \mathcal{P} , a set of (environment) states S, and a set of actions A, a reward machine (RM) is a tuple $\mathcal{R}_{\mathcal{P}SA} = \langle U, u_0, F, \delta_u, \delta_r \rangle$ where U is a finite set of states, $u_0 \in U$ is an initial state, F is a finite set of terminal states (where $U \cap F = \emptyset$), δ_u is the state-transition function, $\delta_u : U \times 2^{\mathcal{P}} \to U \cup F$, and δ_r is the state-reward function, $\delta_r : U \to [S \times A \times S \to \mathbb{R}]$.

[Toro Icarte et al., ICML18] [Camacho et al., IJCAI19] [Toro Icarte et al., forthcoming]

Simple Reward Machine

Definition 3.2 (simple reward machine). Given a set of propositional symbols \mathcal{P} , a simple reward machine is a tuple $\mathcal{R} = \langle U, u_0, F, \delta_u, \delta_r \rangle$ where $U, u_0, F, and \delta_u$ are defined as in a standard reward machine, but the state-reward function $\delta_r : U \times 2^{\mathcal{P}} \to \mathbb{R}$ depends on $2^{\mathcal{P}}$ and returns a number instead of a function.

[Toro Icarte et al., ICML18] [Camacho et al., IJCAI19] [Toro Icarte et al., forthcoming]
























































































Task: Deliver coffee to the office, while avoiding furniture.



Task: Deliver coffee to the office, while avoiding furniture.



Task: Deliver coffee to the office, while avoiding furniture.



Task: Deliver coffee and mail to the office.



Task: Deliver coffee and mail to the office.



Task: Deliver coffee and mail to the office.



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EXPLOITING RM STRUCTURE IN LEARNING

A simple idea ...

Someone has to program the reward function



count = 0 # global variable

def get_reward(s):
 if count == 0 and state.at("A"):
 count = 1
 if count == 1 and state.at("B"):
 count = 2
 if count == 2 and state.at("C"):
 count = 3
 if count == 3 and state.at("D"):
 count = 0
 return 1
 return 0

Task: Visit A, B, C, and D, in order.

... even when the environment is the real world!



Task: Visit A, B, C, and D, in order.



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Task: Visit A, B, C, and D, in order.



Simple Idea:

- Give the agent access to the reward function
- Exploit reward function structure in learning

Running Example



The agent can exploit structure in the reward function.

Methods for Exploiting RM Structure

Baselines based on existing methods:

- 1. Q-learning over an equivalent MDP (Q-learning)
- 2. Hierarchical RL based on options (HRL)
- 3. HRL with RM-based pruning (HRL-RM)

Our approaches:

- 4. Q-learning for Reward Machines (QRM)
- 5. QRM + Reward Shaping for Reward Machine (QRM + RS)










1. Q-Learning Baseline



A Reward Machine may define a non-Markovian reward function.

1. Q-Learning Baseline



Solution: Include RM state as part of agent's state representation.

Use standard Q-learning on resulting MDP.

2. Option-Based Hierarchical RL (HRL)

Learn one **option policy** for each proposition mentioned in the RM



- RM refers to A, B, C, and D
- Learn policies $\pi_{\rm A}, \pi_{\rm B}, \pi_{\rm C},$ and $\pi_{\rm D}$
- Optimize π_i , to satisfy *i* optimally

2. Option-Based Hierarchical RL (HRL)

Simultaneously learn when to use each option policy



3. HRL with RM-Based Pruning (HRL-RM)

Prune irrelevant options using current RM state



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Prune irrelevant options using current RM state



HRL Methods Can Find Suboptimal Policies



HRL approaches find "locally" optimal solutions.

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Recall: Methods for Exploiting RM Structure

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QRM (our approach)

1. Learn one policy (q-value function) per state in the Reward Machine.



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QRM (our approach)

- 1. Learn one policy (q-value function) per state in the Reward Machine.
- Select actions using the policy of the current RM state.
- Reuse experience to update all q-value functions on every transition via off-policy reinforcement learning.



This is a form of **Counterfactual Reasoning**

Remember

this!

Recall: Methods for Exploiting RM Structure

Baselines based on existing methods:

- 1. Q-learning over an equivalent MDP (Q-learning)
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Our approaches:

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5. QRM + Reward Shaping (QRM + RS)

QRM + RS (our approach)

- 1. Treat the RM itself as an MDP and perform value iteration over the RM.
- 2. Apply QRM to the shaped RM



Optimality of QRM and QRM+RS



Theorem: QRM and QRM+RS converge to the optimal policy in the limit.

The Rest of the Talk

- Reward Machines (RM)
- Exploiting RM Structure in Learning
- **Experiments**
- Creating Reward Machines
- Concluding Remarks



Test Domains

- Two domains with a discrete action and state-space
 Office domain (4 tasks)
 - Craft domain (10 tasks)
- One domain with a continuous state-space
 - Water World domain (10 tasks)

Test in Discrete Domains

Tested all five approaches

- 1. Q-learning over an equivalent MDP (Q-learning)
- 2. Hierarchical RL based on options (HRL)
- 3. HRL with RM-based pruning (HRL-RM)
- 4. Q-learning for Reward Machines (QRM)
- 5. QRM + Reward Shaping (QRM + RS)

Method	Optimality?	Decomposition?
Q-Learning	\checkmark	
HRL		\checkmark
HRL-RM	,	\checkmark
QRM	\checkmark	\checkmark
QRM + RS	\checkmark	\checkmark

Office World Experiments



Office World

4 tasks, 30 independent trials per task

Office World Experiments



4 tasks, 30 independent trials per task

Minecraft World Experiments



10 tasks over 10 random maps, 3 independent trials per combination

Tasks from Andreas et al. (ICML 2017)

Minecraft World Experiments



10 tasks over 10 random maps, 3 independent trials per combination Tasks from Andreas *et al.* (ICML 2017)

Function Approximation with QRM

From tabular QRM to Deep QRM

• Replace Q-learning by Double DQN (DDQN) with prioritized experience replays

Method	Optimality?	Decomposition?
Q-Learning HRL		\checkmark
HRL-RM		\checkmark
QRM		\checkmark
QRM + RS		\checkmark

Water World Experiments



10 tasks over 10 random maps, 3 independent trials per combination

Water World Experiments



10 tasks over 10 random maps, 3 independent trials per combination

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CREATING REWARD MACHINES

Creating Reward Machines

Where do Reward Machines come from?

- 1. Specify
- 2. Generate
- 3. Learn
1. Construct Reward Machine from Formal Languages

Reward Machines serves as a **lingua franca** and provide a **normal form representation** for the reward function that **supports reward-function-tailored learning**.



1. Construct Reward Machine from Formal Languages

Reward Machines serves as a **lingua franca** and provide a **normal form representation** for the reward function that **supports reward-function-tailored learning**.



2. Generate RM using a Symbolic Planner

high-level model to describe abstract actions (options)

 symbolic planning to generate RMs corresponding to high- level partial-order plans

✓ use these abstract solutions to guide an RL agent



[Illanes, Yan, Toro Icarte, M., RLDM19, ICAPS20, KR2ML@NeurIPS20]

3. Learn RMs for Partially-Observable RL



Problem: Find a policy that maximizes the external reward given by a partially observable environment

Assumptions: Agent has a set of high-level binary classifiers/event detectors (e.g., button-pushed, cookies, etc.)

Key Insight: Learn an RM such that **its internal state can be effectively used as external memory** by the agent to solve the task.

Approach: Discrete Optimization via Tabu Search



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Approach: Discrete Optimization via Tabu Search

3. Learn Reward Machines (LRM)



More human interpretable concept of what the agent is trying to do

[Toro Icarte; Waldie; Klassen; Valenzano; Castro; M, NeurIPS 2019]

3. Learn Reward Machines (LRM)



[Toro Icarte, Waldie, Klassen, Valenzano, Castro, M, NeurIPS 2019]





How do we advise, instruct, task, ... and impart knowledge to AI that learns?

Photo: Javier Pierin (Getty Images)

Big Idea: Reward Machines

```
count = 0 # global variable

def get_reward(s):
    if count == 0 and state.at("A"):
        count = 1
    if count == 1 and state.at("B"):
        count = 2
    if count == 2 and state.at("C"):
        count = 3
    if count == 3 and state.at("D"):
        count = 0
        return 1
    return 0
```



Key Insight: Reveal Reward Function to the Agent



Key Insight: Reveal Reward Function to the Agent



Great Results in Discrete Domains



QRM outperforms HRL and standard Q-learning in two domains

...and in Continuous Domains



... and is also effective when combined with deep learning

We can construct RMs from a diversity of formal languages ...



We can generate them using a Symbolic Planner



- *u*₀: Ø
- u_1 : {get-coffee}
- u_2 : {get-mail}
- u_3 : {get-coffee, get-mail}
- u_4 : {get-coffee, deliver-coffee}

- u_5 : {get-mail, deliver-mail}
- u_6 : {get-coffee, get-mail, deliver-coffee}
- *u*₇: {get-mail,get-coffee,deliver-mail}
- u_8 : {get-coffee, get-mail, deliver-coffee,

deliver-mail}

...and they can be learned in partially observable environments to solve hard problems



Play with the code, read the papers, ...

Using Reward Machines for High-Level Task Specification and Decomposition in Reinforcement Learning

Toro Icarte, Klassen, Valenzano, McIlraith

ICML 2018

Code: <u>https://bitbucket.org/RTorolcarte/qrm</u>

Teaching Multiple Tasks to an RL Agent using LTL

Toro Icarte, Klassen, Valenzano, McIlraith AAMAS 2018 & NeurIPS 2018 Workshop (Learning by Instructions) Code: <u>https://bitbucket.org/RToroIcarte/Ipopl</u>

LTL and Beyond: Formal Languages for Reward Function Specification in Reinforcement Learning Camacho, Toro Icarte, Klassen, Valenzano, McIlraith IJCAI 2019

Learning Reward Machines for Partially Observable Reinforcement Learning

Toro Icarte, Waldie, Klassen, Valenzano, Castro, McIlraith NeurIPS 2019

Symbolic Plans as High-Level Instructions for Reinforcement Learning

Illanes, Yan, Toro Icarte, McIlraith ICAPS 2020/RLDM 2019



Reward Machines: Exploiting Reward Function Structure in Reinforcement Learning

Toro Icarte, Klassen, Valenzano, McIlraith

Forthcoming

An update of our original ICML 2018 Reward Machines paper. With **QRM replaced by CRM**. Code and paper available at http://www.cs.toronto.edu/~rntoro

LTL2Action: Generalizing LTL Instructions for Multi-Task RL

Vaezipoor, Li, Toro Icarte, McIlraith ICML 2021.

DI agont loarns the language of ITI and how to fe

RL agent learns the language of LTL and how to follow and generalize instructions for multi-task RL.





Other related work

Advice-Based Exploration in Model-Based Reinforcement Learning.

Toro Icarte, Klassen, Valenzano, McIlraith Canadian AI 2018. Linear temporal logic (LTL) formulas and a heuristic were used to guide exploration during reinforcement learning.

Non-Markovian Rewards Expressed in LTL: Guiding Search Via Reward Shaping (Extended Version)

Camacho, Chen, Sanner, McIlraith Extended Abstract: SoCS 2017, RLDM 2017 Full Paper: First Workshop on Goal Specifications for Reinforcement Learning, collocated with ICML/IJCAI/AAMAS, 2018. Linear temporal logic (LTL) formulas are used to express non-Markovian reward in fully specified MDPs. LTL is translated to automata and reward shaping is used over the automata to help solve the MDP.

Learning Interpretable Models in Linear Temporal Logic

Camacho, McIlraith ICAPS, 2019

FL-AT: A Formal Language–Automaton Transmogrifier.

Middleton, Klassen, Baier, McIlraith ICAPS 2020 Systems Demo

Past work on Planning with Formal Languages & Automata

Non-Deterministic Planning with Temporally Extended Goals: LTL over Finite and Infinite Traces

Camacho, Triantafillou, Muise, Baier and McIlraith AAAI 2017

Planning with First-Order Temporally Extended Goals Using Heuristic Search Baier and McIlraith AAAI 2006

Planning with Temporally Extended Goals Using Heuristic Search

Baier and McIlraith, ICAPS 2006

Exploiting Procedural Domain Control Knowledge in State-of-the-Art Planners Baier Fritz and McIlraith, ICAPS 2007

Beyond Classical Planning: Procedural Control Knowledge and Preferences in State-of-the-Art Planners Baier Fritz Bienvenu and McIlraith, AAAI 2008

A Heuristic Search Approach to Planning with Temporally Extended Preference Baier, Bacchus and McIlraith Artificial Intelligence Journal, 2009

Specifying and Computing Preferred Plans

Fritz, Bienvenu and McIlraith, Artificial Intelligence Journal, 2011 (See also KR2006 paper)

Past work on Planning with Formal Languages & Automata

For work on LTL FOND Planning, LTL Synthesis & their relationship see work by Alberto Camacho

http://www.cs.toronto.edu/~acamacho/publications



Alberto Camacho

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