

# Pattern formation in gradient systems

## Seminar on Spatio-Temporal Patterns

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# Gradient Systems

## Definition (Gradient Systems on $\mathbb{R}^n$ )

A system of differential equations of the form

$$X' = -\text{grad } V(X),$$

where  $X = (x_1, \dots, x_n)$  and  $V : \mathbb{R}^n \rightarrow \mathbb{R}$  is a  $C^\infty$ -function, and

$$\text{grad } V = \nabla V = \left( \frac{\partial V}{\partial x_1}, \dots, \frac{\partial V}{\partial x_n} \right).$$

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Note: the negative sign in this system is traditional. And

$$-\text{grad } V(X) = \text{grad } (-V(X)).$$

## Important equality

The following equality is fundamental:

$$DV_X(Y) = \text{grad } V(X) \cdot Y.$$

This says that the derivative of  $V$  at  $X$  evaluated at  $Y = (y_1, \dots, y_n) \in \mathbb{R}^n$  is given by the dot product of the vectors  $\text{grad } V(X)$  and  $Y$ .

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Let  $X(t)$  be a solution of the gradient system  $X' = -\text{grad } V(X)$  with  $X(0) = X_0$ , and let  $\dot{V} : \mathbb{R}^n \rightarrow \mathbb{R}$  be the derivative of  $V$  along this solution. That is

$$\dot{V}(X) = \frac{d}{dt} V(X(t)).$$

## Proposition

*The function  $V$  is a Lyapunov function for the system  $X' = -\text{grad } V(X)$ . Moreover,  $V(X) = 0$  if and only if  $X$  is an equilibrium point.*

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## Proof.

By the chain rule, we have

$$\begin{aligned}\dot{V}(X) &= DV_X(X') \\ &= \text{grad } V(X) \cdot (-\text{grad } V(X)) \\ &= -|\text{grad } V(X)|^2 \leq 0.\end{aligned}$$

In particular,  $\dot{V}(X) = 0$  if and only if  $\text{grad } V(X) = 0$ . □

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Remark: Lyapunov functions are scalar functions that may be used to prove the stability of an equilibrium of an ODE.

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If  $X^*$  is an isolated minimum of  $V$ , then  $X^*$  is an asymptotically stable equilibrium of the gradient system.

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The fact that  $X^*$  is isolated guarantees that  $\dot{V} < 0$  in a neighbourhood of  $X^*$  (not including  $X^*$ ).

## Level surfaces

To understand a gradient flow geometrically, we look at the *level surfaces* of the function  $V : \mathbb{R}^n \rightarrow \mathbb{R}$ . These are the subsets  $V^{-1}(c)$  with  $c \in \mathbb{R}$ .

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If  $X \in V^{-1}(c)$  is a *regular point*, that is  $\text{grad } V(X) \neq 0$ , then  $V^{-1}(c)$  looks like a 'surface' of dimension  $n - 1$  near  $X$ .

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If  $X$  is a nonregular point for  $V$ , then  $\text{grad } V(X) = 0$ , so  $X$  is a *critical point* for the function  $V$ , since all partial derivatives of  $V$  vanish at  $X$ .

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In the case  $n = 2$ ,  $V^{-1}(c)$  is a simple curve through  $X$  when  $X$  is a regular point. And if  $c$  is a regular value, then the level set  $V^{-1}(c)$  is a union of simple (or nonintersecting) curves.

Suppose that  $Y$  is a vector that is tangent to the level surface  $V^{-1}(c)$  at  $X$ . Then we can find a curve  $\gamma(t)$  in this level set for which  $\gamma'(0) = Y$ . Since  $V$  is constant along  $\gamma$ , it follows that

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$$DV_X(Y) = \left. \frac{d}{dt} \right|_{t=0} V \circ \gamma(t) = 0.$$

Thus, we have  $\text{grad}V(X) \cdot Y = 0$ , or, in other words,  $\text{grad} V(X)$  is perpendicular to every tangent vector to the level set  $V^{-1}(c)$  at  $X$ . That is, the vector field  $\text{grad} V(X)$  is perpendicular to the level surfaces  $V^{-1}(c)$  at all regular points of  $V$ .

## Theorem (Properties of Gradient Systems)

*For the system  $X' = -\text{grad } V(X)$ , the following holds:*

- 1 *If  $c$  is a regular value of  $V$ , then the vector field is perpendicular to the level set  $V^{-1}(c)$ .*
- 2 *The critical points of  $V$  are the equilibrium points of the system.*
- 3 *If a critical point is an isolated minimum of  $V$ , then this point is an asymptotically stable equilibrium point.*

## Example, for $n = 2$

Let  $V : \mathbb{R}^2 \rightarrow \mathbb{R}$  be the function  $V(x, y) = x^2(x - 1)^2 + y^2$ .

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Then the gradient system, for  $X = (x, y)^T$ ,

$$X' = F(X) = -\text{grad } V(X)$$

is given by

$$\begin{cases} x' &= -2x(x - 1)(2x - 1) \\ y' &= -2y. \end{cases}$$

## Example, for $n = 2$

The system

$$\begin{cases} x' &= -2x(x-1)(2x-1) \\ y' &= -2y, \end{cases}$$

has three equilibrium points:  $(0, 0)$ ,  $(\frac{1}{2}, 0)$  and  $(1, 0)$ . The linearization at these three points yield the following matrices:

$$DF(0,0) = \begin{pmatrix} -2 & 0 \\ 0 & -2 \end{pmatrix}, \quad DF(\frac{1}{2},0) = \begin{pmatrix} 1 & 0 \\ 0 & -2 \end{pmatrix},$$
$$DF(1,0) = \begin{pmatrix} -2 & 0 \\ 0 & -2 \end{pmatrix}.$$

Hence  $(0, 0)$  and  $(1, 0)$  are sinks, while  $(\frac{1}{2}, 0)$  is a saddle.

## Example, for $n = 2$

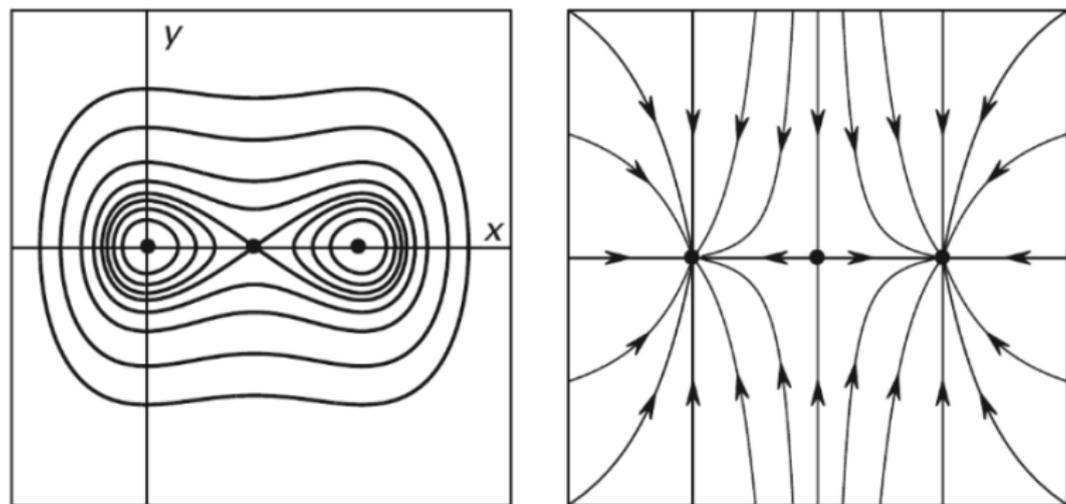
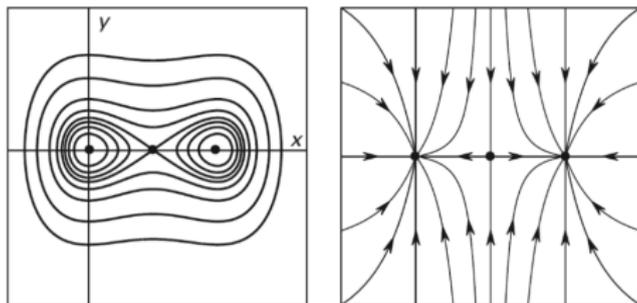


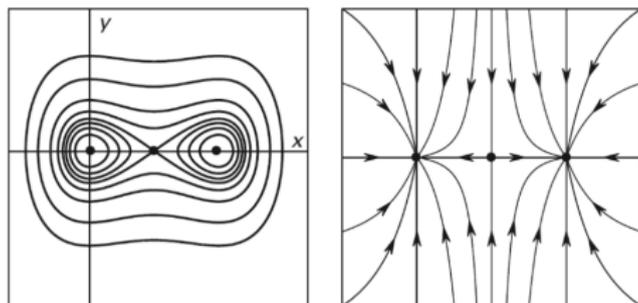
Figure: The level sets and phase portrait for the gradient system determined by  $V(x, y) = x^2(x - 1)^2 + y^2$ .

Example, for  $n = 2$



Other observations:

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Other observations:

- Both the  $x$ - and  $y$ -axes are invariant, as are the lines  $x = \frac{1}{2}$  and  $x = 1$ .
- The stable curve at  $(\frac{1}{2}, 0)$  is the line  $x = \frac{1}{2}$ .
- The unstable curve at  $(\frac{1}{2}, 0)$  is the interval  $(0, 1)$  on the  $x$ -axis.

# Passage

A lot of gradient systems can be understood quite well.

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Examples of gradient systems are the Cahn-Hilliard equation, the extended Fisher-Kolmogorov equation and the Swift-Hohenberg equation.

# Cahn-Hilliard equation

The Cahn-Hilliard equation is given, in general, by

$$\begin{aligned}\partial_t u &= \Delta(-\Delta u + F'(u)) \\ &= -\nabla^2(\nabla^2 u - F'(u)) \\ \frac{\partial u}{\partial t} &= -\frac{\partial^2}{\partial x^2} \left( \frac{\partial^2 u}{\partial x^2} - F'(u) \right),\end{aligned}$$

where  $u = u(x, t)$ ,  $x \in \Omega \in \mathbb{R}^n$  and  $F$  is a smooth function having two degenerate minima, e.g.,

$$F(u) = \frac{1}{4}u^4 - \frac{1}{2}u^2, \quad F'(u) = u^3 - u.$$

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The function  $F$  is called the *potential*.

# Applications

The Cahn-Hilliard equation (after John W. Cahn and John E. Hilliard) describes phase separation in binary alloys: Spinodal decomposition.

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## Definition (Spinodal decomposition)

When binary alloys are cooled rapidly to low temperatures below the critical point, they tend to form quickly inhomogeneities forming a granular structure.

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## Definition (Spinodal decomposition)

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↪ PATTERNS

Figure: Microstructural evolution under the Cahn-Hilliard equation, demonstrating distinctive coarsening and phase separation.

# Many more applications

There are many more applications of the CH-equation:

- Electric voltage
- Reacting chemicals

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- Electric voltage
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For my masterthesis: Patterns in musselbeds.



# Connection between CH-equation and gradient systems

We introduce the functional

$$W(u) = \int_{\Omega} \left\{ F(u) + \frac{1}{2} |\nabla^2 u|^2 \right\} dx,$$

where the function  $F(u)$ , as before, is smooth with two degenerate minima.

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The function  $F(u)$  is a so-called *double well potential*.

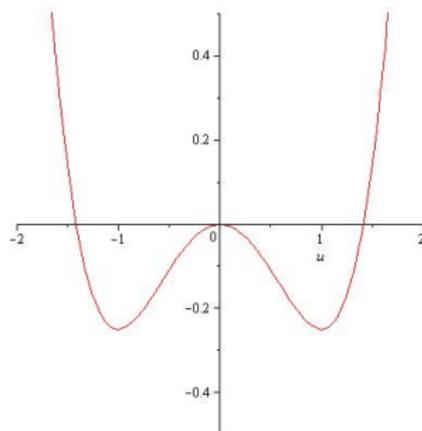


Figure: The double well potential  $F(u) = \frac{1}{4}u^4 - \frac{1}{2}u^2$ .

# Connection between CH-equation and gradient systems

One can show:

$$\frac{\partial u}{\partial t} = -K \operatorname{grad} W(u) = -K \nabla^2 (\nabla^2 u - F'(u)),$$

where  $K$  is some positive constant or function. <sup>1</sup>

Here, the notion of Hilbert space is needed!

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Hence the Cahn-Hilliard equation is a gradient system, and  $W$  a Lyapunov function.

Remark: This is a very simple explanation of the CH-equation as a gradient system.



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## Other equations

The Cahn-Hilliard equation, for  $F(u) = \frac{1}{4}u^4 - \frac{1}{2}u^2$ , is

$$\frac{\partial u}{\partial t} = -\frac{\partial^2}{\partial x^2} \left\{ \frac{\partial^2 u}{\partial x^2} + u - u^3 \right\}.$$

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Another fourth order parabolic differential equation, for  $f(u) = u - u^3$ :

$$\frac{\partial u}{\partial t} = -\gamma \frac{\partial^4 u}{\partial x^4} + \beta \frac{\partial^2 u}{\partial x^2} + f(u),$$

where  $\gamma > 0$  and  $\beta \in \mathbb{R}$ .

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$\beta > 0$  :      *Extended Fisher-Kolmogorov* equation (EFK),

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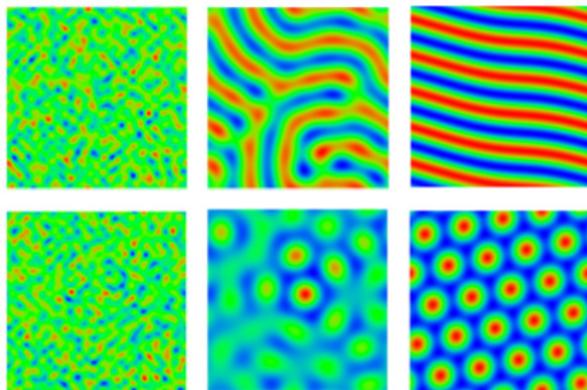
$\beta > 0$ : *Extended Fisher-Kolmogorov* equation (EFK),

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Note that parameters  $\gamma$  and  $\beta$  can be combined into a single parameter via a scaling of the spatial coordinate.

The EFK- and SH-equation, for various nonlinearities  $f(u)$ , again serve as a model in many applications:

- pattern formation in a variety of complex fluids and biological materials
- travelling water waves in a shallow channel.



# The Fisher-Kolmogorov equation

A similar, more simple equation, for  $\beta > 0$  and  $\gamma = 0$ :

$$\frac{\partial u}{\partial t} = \frac{\partial^2 u}{\partial x^2} + u - u^3.$$

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The *Fisher-Kolmogorov* equation (FK).

Nonlinear reaction-diffusion equation, which is extensively studied.

The stationary solutions of the FK-equation satisfy the ODE:

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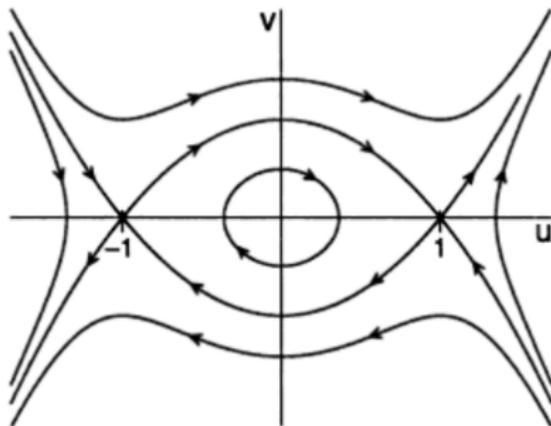


Figure: The phase plane of (1) in the  $(u, v) = (u, u')$ -plane.

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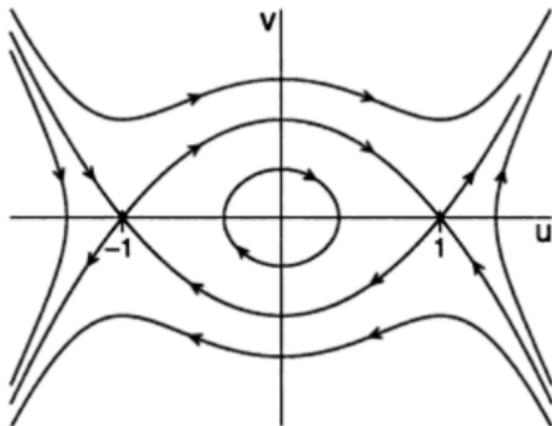
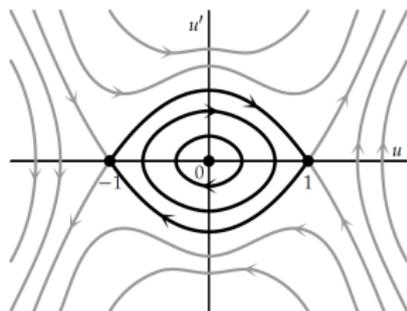


Figure: The phase plane of (1) in the  $(u, v) = (u, u')$ -plane.

## Bounded solutions of the FK-equation:

- Constant solutions:  $u(x) \equiv 0$  (unstable),  $u(x) \equiv 1$ ,  $u(x) \equiv -1$  (stable).
- Two kinks or heteroclinic solutions connecting  $(u, u') = (\pm 1, 0)$ :  
 $u(x) = \pm \tanh\left(\frac{x}{\sqrt{2}}\right)$ .
- Periodic solutions: Infinitely many solutions, which oscillate around  $u = 0$ .



Introduce the energy functional or Hamiltonian:

$$E(u) = \frac{1}{2}(u')^2 - \frac{1}{4}(u^2 - 1)^2,$$

which is constant along solutions of (1).

↔ The classical energy of a particle in a potential.

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In connection with the Hamiltonian: an action functional, *Lagrangian action*:

$$J(u) = \int \left( \frac{1}{2}(u')^2 + \frac{1}{4}(1 - u^2)^2 \right) dx.$$

Here  $J(u)$  is a Lyapunov function for the flow of the original FK-equation.

# The EFK- and SH-equation

The Extended Fisher-Kolmogorov equation

$$\frac{\partial u}{\partial t} = -\frac{\partial^4 u}{\partial x^4} + \beta \frac{\partial^2 u}{\partial x^2} + u - u^3, \quad \beta > 0.$$

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The Swift-Hohenberg equation

$$\frac{\partial u}{\partial t} = -\left(1 + \frac{\partial^2 u}{\partial x^2}\right)^2 + \alpha u - u^3, \quad \alpha \in \mathbb{R}$$

can be rescaled to

$$\frac{\partial u}{\partial t} = -\frac{\partial^4 u}{\partial x^4} + \beta \frac{\partial^2 u}{\partial x^2} + u - u^3,$$

with  $\beta = -\frac{2}{\sqrt{\alpha-1}} < 0$ .

# General equation

Equations of the general form

$$\frac{\partial u}{\partial t} = -\gamma \frac{\partial^4 u}{\partial x^4} + \beta \frac{\partial^2 u}{\partial x^2} + f(u), \quad \gamma > 0, \beta \in \mathbb{R},$$

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where  $f(u)$  is a nonlinear function.

For example,

$$f(u) = u - u^3, \quad \text{and therefore } F(u) = \int f(s) ds = \frac{1}{2}u^2 - \frac{1}{4}u^4.$$

## General equation

Again interested in the stationary (time-independent) solutions:

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Here  $F(u)$  is the potential.

The Lagrangian action associated with this Hamiltonian is

$$J(u) = \int \left( \frac{\gamma}{2} (u'')^2 + \frac{\beta}{2} (u')^2 - F(u) \right) dx.$$

Here  $J(u)$  is a Lyapunov function for the flow of the original general form of the EFK-equation.

# Comparison

The functional for the Cahn-Hilliard equation:

$$W(u) = \int \left\{ F(u) + \frac{1}{2} |\nabla^2 u|^2 \right\} dx.$$

The functional for the general stationary equation:

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Both Lyapunov functions!

## Last remarks

A lot of research has been done for these type of equations.

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Goal for my master thesis:

To describe the patterns found in musselbeds, using the Cahn-Hilliard equation.

Thank you for your attention!