

# Malle's conjecture for nonic Heisenberg extensions, pre-talk

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MAX-PLANCK-GESELLSCHAFT

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- ▶ The statement of Malle's conjecture;
- ▶ The structure of the Heisenberg group;
- ▶ Central extensions and some basic Galois cohomology.

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This subgroup is only well-defined up to conjugation: relabelling the embeddings gives a conjugate subgroup.

# Statement of Malle's conjecture

For a transitive subgroup  $G \subseteq S_n$ , consider the counting function

$$N(G, X) := \#\{K/\mathbb{Q} : [K : \mathbb{Q}] = n, \text{Gal}(K/\mathbb{Q}) \cong_{\text{perm. gr.}} G, \Delta_{K/\mathbb{Q}} \leq X\},$$

where  $\Delta_{K/\mathbb{Q}}$  denotes the discriminant and  $K$  is taken inside a fixed algebraic closure  $\overline{\mathbb{Q}}$ .

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## Conjecture 1 (Malle's conjecture)

There exists a constant  $c(G) > 0$  such that

$$N(G, X) \sim c(G)X^{a(G)}(\log X)^{b(G)-1}.$$

Malle gave explicit values for the constants  $a(G)$  and  $b(G)$ .

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$$\text{ind}(\sigma) := n - |\{\text{orbits of } \sigma\}|$$

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Interpretation: any prime  $p$  dividing the discriminant of a  $G$ -extension occurs with exponent at least  $a(G)^{-1}$ .

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Furthermore, Malle proposed

$$b(G) := \#\{C \in \text{Conj}(G) : \text{ind}(C) = a(G)^{-1}\} / \sim,$$

where two conjugacy classes  $C$  and  $C'$  are equivalent if they are in the same orbit under a certain action of  $\text{Gal}(\overline{\mathbb{Q}}/\mathbb{Q})$  on  $\text{Conj}(G)$ .

# The Heisenberg group

Let  $\ell$  be an odd prime number. The Heisenberg group  $\text{Heis}_\ell$  is the multiplicative group of upper triangular matrices with ones on the diagonal and entries in  $\mathbb{F}_\ell$ :

$$\begin{pmatrix} 1 & * & * \\ 0 & 1 & * \\ 0 & 0 & 1 \end{pmatrix}.$$



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## Theorem 1 (Basic facts about $\text{Heis}_\ell$ )

*Let  $\ell$  be an odd prime. We have the following*

- ▶ *every element of  $\text{Heis}_\ell$  has order  $\ell$ ;*
- ▶ *the centre  $Z(\text{Heis}_\ell)$  of  $\text{Heis}_\ell$  is of size  $\ell$ ;*
- ▶ *there is an exact sequence*

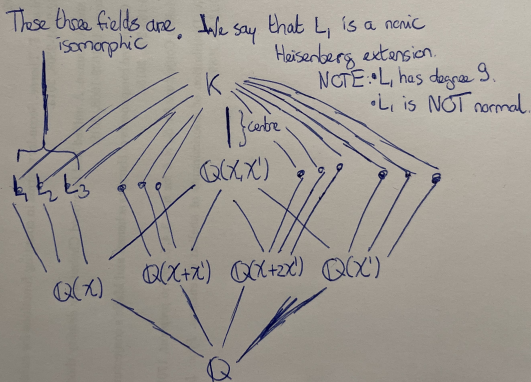
$$1 \rightarrow \mathbb{F}_\ell \rightarrow \text{Heis}_\ell \rightarrow \mathbb{F}_\ell^2 \rightarrow 1$$

*with the image of  $\mathbb{F}_\ell$  landing in  $Z(\text{Heis}_\ell)$ .*

# Subfield diagram of Heis<sub>3</sub>

$\chi, \chi': G_{\mathbb{Q}} \rightarrow \mathbb{F}_3$  cyclic degree 3 char.

$K/\mathbb{Q}$  with  $\text{Gal}(K/\mathbb{Q}) \cong \text{Heis}_3$



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Note that such a subgroup has a transitive action on 9 elements, and recall that if  $G$  acts transitively on a set  $X$ , this action is isomorphic to  $G$  acting on  $G/H$  for some subgroup  $H$ .

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Fortunately,  $\text{Heis}_3$  is a very symmetric group so all 12 subgroups  $H$  of order 3 lead to the same conjugacy class of subgroups in  $S_9$ . Concretely, generators are

$$(1, 2, 9)(3, 4, 5)(6, 7, 8), (3, 4, 5)(6, 8, 7), (1, 4, 7)(2, 5, 8)(3, 6, 9),$$

so  $a(\text{Heis}_3) = 4$ ,  $b(\text{Heis}_3) = 1$ .

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so  $a(\text{Heis}_3) = 4$ ,  $b(\text{Heis}_3) = 1$ . Hence, conjecturally, there is  $c > 0$  with

$$N(\text{Heis}_3, X) \sim cX^{1/4}.$$



# How to make $\text{Heis}_3$ over $\mathbb{Q}$

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Suppose that we are given two linearly independent characters  
 $\chi, \chi' : G_{\mathbb{Q}} \rightarrow \mathbb{F}_3$ .

We will develop some general tools to answer the following question:

when does there exist a normal, degree 27 extension  $K/\mathbb{Q}$  containing  $\mathbb{Q}(\chi)$  and  $\mathbb{Q}(\chi')$  such that  $\text{Gal}(K/\mathbb{Q}) \cong \text{Heis}_3$ ?

# Central extensions

Recall that we had an exact sequence

$$1 \rightarrow \mathbb{F}_\ell \rightarrow \text{Heis}_\ell \rightarrow \mathbb{F}_\ell^2 \rightarrow 1$$

with the image of  $\mathbb{F}_\ell$  landing in  $Z(\text{Heis}_\ell)$ .

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More general, a central extension of  $G$  by  $A$  is an exact sequence

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## Theorem 2 ( $\text{Heis}_\ell$ as a central extension)

Let  $\chi_1, \chi_2 : \mathbb{F}_\ell^2 \rightarrow \mathbb{F}_\ell$  be the natural projections. Then the Heisenberg extensions (i.e. those with  $E \cong \text{Heis}_\ell$ ) correspond to the non-trivial multiples of  $(v, w) \mapsto \chi_1(v) \cdot \chi_2(w)$  inside  $H^2(\mathbb{F}_\ell^2, \mathbb{F}_\ell)$ .



# Inflation–restriction exact sequence

Let  $G$  be a group,  $N$  a normal subgroup and let  $A$  be a  $G$ -module. There is a long exact sequence

$$0 \rightarrow H^1(G/N, A^N) \xrightarrow{\text{inf}} H^1(G, A) \xrightarrow{\text{res}} H^1(N, A)^{G/N} \xrightarrow{\text{trans}} H^2(G/N, A^N) \xrightarrow{\text{inf}} H^2(G, A),$$

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where  $A^N$  denotes the fixed points, and  $G/N$  acts on  $H^1(N, A)$  by sending a 1-cocycle  $f : N \rightarrow A$  to  $(g * f)(n) = g \cdot f(g^{-1}ng)$ .

# Applying the inflation–restriction exact sequence, I

We apply this with  $G = G_{\mathbb{Q}}$ ,  $N$  the normal subgroup of  $G_{\mathbb{Q}}$  corresponding to the bicyclic extension  $M := \mathbb{Q}(\chi, \chi')$  and  $A = \mathbb{F}_\ell$  with trivial action.

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In this case we have

$$\begin{aligned} H^1(N, A)^{G/N} &= \text{Hom}(G_M, \mathbb{F}_{\ell})^{\text{Gal}(M/\mathbb{Q})} \\ &= \{\rho : G_M \rightarrow \mathbb{F}_{\ell} : \rho(\sigma\tau\sigma^{-1}) = \rho(\tau) \text{ for } \tau \in G_M, \sigma \in G_{\mathbb{Q}}\}. \end{aligned}$$

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To  $\rho \in \text{Hom}(G_M, \mathbb{F}_{\ell})^{\text{Gal}(M/\mathbb{Q})}$  we attach the central extension

$$1 \rightarrow \text{Gal}(M(\rho)/M) \rightarrow \text{Gal}(M(\rho)/\mathbb{Q}) \rightarrow \text{Gal}(M/\mathbb{Q}) \rightarrow 1,$$

since  $M(\rho)$  is a Galois extension over  $\mathbb{Q}$ .

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Using  $\rho$  to identify  $\text{Gal}(M(\rho)/M) \cong \mathbb{F}_{\ell}$ , we naturally get a class in  $H^2(\text{Gal}(M/\mathbb{Q}), \mathbb{F}_{\ell})$ . This is the map  $\text{trans}$ .

# Applying the inflation–restriction exact sequence, II

Recall that

$$\begin{aligned} 0 \rightarrow \mathrm{Hom}(\mathrm{Gal}(M/\mathbb{Q}), \mathbb{F}_\ell) &\xrightarrow{\mathrm{inf}} \mathrm{Hom}(G_{\mathbb{Q}}, \mathbb{F}_\ell) \\ &\xrightarrow{\mathrm{res}} \mathrm{Hom}(G_M, \mathbb{F}_\ell) \xrightarrow{\mathrm{Gal}(M/\mathbb{Q})} \xrightarrow{\mathrm{trans}} H^2(\mathrm{Gal}(M/\mathbb{Q}), \mathbb{F}_\ell) \xrightarrow{\mathrm{inf}} H^2(G_{\mathbb{Q}}, \mathbb{F}_\ell). \end{aligned}$$

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We do not want all elements  $\rho \in \mathrm{Hom}(G_M, \mathbb{F}_\ell)^{\mathrm{Gal}(M/\mathbb{Q})}$ , but only those corresponding to Heisenberg extensions.



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These are precisely those  $\rho$  that map to a non-trivial multiple of the 2-cocycle  $\theta_{\chi, \chi'}$  given by  $(v, w) \mapsto \chi(v) \cdot \chi'(w)$ .

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The first terms of the exact sequence are not too interesting: if we twist  $\rho$  by  $\tilde{\chi} : G_{\mathbb{Q}} \rightarrow \mathbb{F}_\ell$  (i.e. consider  $\rho + \tilde{\chi}$ ), we get another invariant character that maps to the same element in  $H^2(\text{Gal}(M/\mathbb{Q}), \mathbb{F}_\ell)$ .

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Furthermore, the characters  $\chi$  and  $\chi'$  are trivial when restricted to  $M$ .

# Applying the inflation–restriction exact sequence, III

But the end of the sequence

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If we are given the 2-cocycle  $\theta_{\chi, \chi'}$ , when does it come from a character  $\rho \in \mathrm{Hom}(G_M, \mathbb{F}_\ell)^{\mathrm{Gal}(M/\mathbb{Q})}$  (i.e. there exists a Heisenberg extensions containing  $M$ )?

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By exactness, these are precisely those  $\theta_{\chi, \chi'}$  that vanish in  $H^2(G_{\mathbb{Q}}, \mathbb{F}_\ell)$  or equivalently in  $H^2(G_{\mathbb{Q}_v}, \mathbb{F}_\ell)$  for all places  $v$  of  $\mathbb{Q}$  by class field theory.

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is interesting!

If we are given the 2-cocycle  $\theta_{\chi, \chi'}$ , when does it come from a character  $\rho \in \text{Hom}(G_M, \mathbb{F}_\ell)^{\text{Gal}(M/\mathbb{Q})}$  (i.e. there exists a Heisenberg extensions containing  $M$ )?

By exactness, these are precisely those  $\theta_{\chi, \chi'}$  that vanish in  $H^2(G_{\mathbb{Q}}, \mathbb{F}_\ell)$  or equivalently in  $H^2(G_{\mathbb{Q}_v}, \mathbb{F}_\ell)$  for all places  $v$  of  $\mathbb{Q}$  by class field theory.

## Theorem 3 (Realizing $\text{Heis}_\ell$ as Galois group)

*There exists a Heisenberg extension containing  $M$  if and only if all ramified primes (not equal to  $\ell$ ) of  $M$  have residue field degree 1.*

Questions?



Questions?

Happy April Fools' Day!