

**Exercise 1**

a) Suppose  $n \in \mathbb{N}$  realizes the sentence. Applying the realization rule for  $\forall$ , we find that for  $n$ :

$$\text{for all } m : (\varphi_n(m) \text{ realizes } S(m) = 0 \rightarrow \perp) \text{ and } \varphi_n(m) \downarrow$$

By the realization rule for  $\rightarrow$ , we next have: for all  $m, m'$  :

$$(m' \text{ realizes } S(m) = 0 \text{ implies } \varphi_{\varphi_n(m)}(m') \text{ realizes } \perp \text{ and } \varphi_{\varphi_n(m)}(m') \downarrow) \text{ and } \varphi_n(m) \downarrow$$

Note that  $S(m) = 0$  is not realized by any number since it is false for the natural numbers. Hence, the above implication holds true for any  $n, m$  and  $m'$ . The only requirement on  $n$  that remains is that  $\varphi_n(m)$  is defined for every  $m$ . We can thus take any  $n$  for which  $\varphi_n$  is everywhere defined. For example, we might take  $n$  to be the index of the constant zero function.

Grading:

(0.5 points) Working out the realizability rules for the given sentence.

(0.5 points) Giving a correct realizer.

b) Suppose  $n \in \mathbb{N}$  realizes the sentence. Applying the realization rule for  $\forall$ , we find that for  $n$ :

$$(\text{fst}(n) = 0 \text{ implies } \text{snd}(n) \text{ realizes } P) \text{ and } (\text{fst}(n) \neq 0 \text{ implies } \text{snd}(n) \text{ realizes } P \rightarrow \perp)$$

By the realization rule for  $\rightarrow$ , we next have:

$$(\text{fst}(n) = 0 \text{ implies } \text{snd}(n) \text{ realizes } P)$$

and

$$(\text{fst}(n) \neq 0 \text{ implies for all } m : m \text{ realizes } P \text{ implies } (\varphi_{\text{snd}(n)}(m) \text{ realizes } \perp \text{ and } \varphi_{\text{snd}(n)}(m) \downarrow))$$

Assume that  $P$  is true. In this case,  $n = \langle 0, n' \rangle$  satisfies the above condition, where  $n'$  is an arbitrary natural number. On the other hand, if  $P$  is not true, then there exists no number  $m$  such that  $m$  realizes  $P$ . Hence, the above condition is satisfied by any natural number  $n$ . In either case, we see that the sentence  $P \vee \neg P$  is always realizable.

Grading:

(0.5 points) Working out the realizability rules for the given sentence.

(0.5 points) Giving a correct realizer.

c) Suppose  $n \in \mathbb{N}$  realizes the sentence. Applying the realization rules for  $\forall, \vee$ , and  $\exists$  we find that for  $n$ : for all  $m : \varphi_n(m) \downarrow$  and

$$\text{fst}(\varphi_n(m)) = 0 \text{ implies } \text{snd}(\varphi_n(m)) \text{ realizes } m = 0$$

and

$$\text{fst}(\varphi_n(m)) \neq 0 \text{ implies } \text{snd}(\text{snd}(\varphi_n(m))) \text{ realizes } m = S(\text{fst}(\text{snd}(\varphi_n(m))))$$

Now, suppose that  $m = 0$ . Then we can take  $\varphi_n(m) = \langle 0, k \rangle$ , with  $k$  an arbitrary natural number. Next, suppose  $m \neq 0$ . Then we can take  $\varphi_n(m) = \langle 1, \langle m - 1, 0 \rangle \rangle$ . The defined function is recursive and, in particular, everywhere defined. Hence, the sentence is realizable.

Grading:

(1 point) Working out the realizability rules for the given sentence.

(0.5 points) Giving a correct realizer.

### Exercise 2

If  $\text{CT}_0$  were to hold true, this would imply that every total function is recursive. Thus, we might take the formula  $A(x, y)$  to represent the statement that  $\chi_H(x) = y$ , where  $\chi_H$  is the characteristic function of the Halting set. For this  $A$ , it is clear that the sentence obtained from the schema  $\text{CT}_0$  is not provable in **PA**. Hence, in particular, it is not provable in **HA**.

Grading:

(1 point) Linking the problem to the undecidability of the Halting Problem and giving a correct instantiation of  $\text{CT}_0$ .

(1 point) Showing the obtained instantiation is not derivable in **HA**.

### Exercise 3

a) Let's spell out what it means for  $e$  **rn**  $\forall x(A(x) \rightarrow \exists yB(x, y))$ :

$$\forall x(\forall m(m \text{ rn } A(x) \rightarrow \text{snd}(\varphi_{\varphi_e(x)}(m)) \text{ rn } B(x, \text{fst}(\varphi_{\varphi_e(x)}(m)))) \wedge \varphi_{\varphi_e(x)}(m) \downarrow \wedge \varphi_e(x) \downarrow).$$

Hence, from this we can deduce

$$\forall x(\psi_A(x) \text{ rn } A(x) \wedge \psi_A(x) \downarrow \rightarrow \text{snd}(\varphi_{\varphi_e(x)}(\psi_A(x))) \text{ rn } B(x, \text{fst}(\varphi_{\varphi_e(x)}(\psi_A(x)))) \wedge \varphi_{\varphi_e(x)}(\psi_A(x)) \downarrow).$$

Now, since  $A(x)$  is almost negative, we can apply Proposition 1.8 to conclude that for any  $n$  we have  $n \text{ rn } A(x) \rightarrow A(x)$  and  $A(x) \rightarrow \psi_A(x) \text{ rn } A(x) \wedge \psi_A(x) \downarrow$ . So we conclude that

$$\forall x, n(n \text{ rn } A(x) \rightarrow \text{snd}(\varphi_{\varphi_e(x)}(\psi_A(x))) \text{ rn } B(x, \text{fst}(\varphi_{\varphi_e(x)}(\psi_A(x)))) \wedge \varphi_{\varphi_e(x)}(\psi_A(x)) \downarrow)$$

as desired.

Grading

(1 point): correct unfolding definition of  $\mathbf{rn}$ .

(0.5 points): As  $A$  is almost negative, we can apply proposition 1.8.

**b)** We define  $t_2(e) := [\lambda x. [\lambda n. \langle \mu z. T([t_1(e)], x, z), \langle 0, \text{snd}(\varphi_{\varphi_e(x)}(\psi_A(x))) \rangle \rangle]]$ . Suppose we have an  $x$  and  $n$  such that  $n \mathbf{rn} A(x)$ . Then by *a*) we conclude that  $\varphi_{\varphi_e(x)}(\psi_A(x)) \downarrow$ , hence also  $\text{fst}(\varphi_{\varphi_e(x)}(\psi_A(x))) \downarrow$  and  $\text{snd}(\varphi_{\varphi_e(x)}(\psi_A(x))) \downarrow$ . Notice then that  $\mu z. T([t_1(e)], x, z)$  terminates (as  $\varphi_{[t_1(e)]}(x) \simeq \text{fst}(\varphi_{\varphi_e(x)}(\psi_A(x)))$  and we have that  $T([t_1(e)], x, \mu z. T([t_1(e)], x, z))$  holds. On the other hand, by *a*) we also have that  $\text{snd}(\varphi_{\varphi_e(x)}(\psi_A(x))) \mathbf{rn} B(x, \text{fst}(\varphi_{\varphi_e(x)}(\psi_A(x))))$  holds. Hence by definition of  $\mathbf{rn}$  and using the hint we conclude

$$\begin{aligned} & T([t_1(e)], x, \mu z. T([t_1(e)], x, z)) \wedge \text{snd}(\varphi_{\varphi_e(x)}(\psi_A(x))) \mathbf{rn} B(x, \text{fst}(\varphi_{\varphi_e(x)}(\psi_A(x)))) \equiv \\ & 0 \mathbf{rn} T([t_1(e)], x, \mu z. T([t_1(e)], x, z)) \wedge \text{snd}(\varphi_{\varphi_e(x)}(\psi_A(x))) \mathbf{rn} B(x, U(\mu z. T([t_1(e)], x, z))) \equiv \\ & \langle 0, \text{snd}(\varphi_{\varphi_e(x)}(\psi_A(x))) \rangle \mathbf{rn} T([t_1(e)], x, \mu z. T([t_1(e)], x, z)) \wedge B(x, U(\mu z. T([t_1(e)], x, z))) \equiv \\ & \langle \mu z. T([t_1(e)], x, z), \langle 0, \text{snd}(\varphi_{\varphi_e(x)}(\psi_A(x))) \rangle \rangle \mathbf{rn} \exists z (T([t_1(e)], x, z) \wedge B(x, U(z))) \equiv \\ & \langle \mu z. T([t_1(e)], x, z), \langle 0, \text{snd}(\varphi_{\varphi_e(x)}(\psi_A(x))) \rangle \rangle \mathbf{rn} B(x, \varphi_{[t_1(e)]}(x)) \wedge \varphi_{[t_1(e)]}(x) \downarrow \equiv \\ & \langle \mu z. T([t_1(e)], x, z), \langle 0, \text{snd}(\varphi_{\varphi_e(x)}(\psi_A(x))) \rangle \rangle \mathbf{rn} B(x, \text{fst}(\varphi_{\varphi_e(x)}(\psi_A(x)))) \wedge \text{fst}(\varphi_{\varphi_e(x)}(\psi_A(x))) \downarrow . \end{aligned}$$

As  $[\lambda n. \langle \text{snd}(\varphi_{\varphi_e(x)}(\psi_A)), \langle 0, \mu z. T([t_1(e)], x, z) \rangle \rangle]$  is just a code of a partial recursive function, it is defined. So we deduce that

$$t_2(e) \mathbf{rn} \forall x (A(x) \rightarrow B(x, \text{fst}(\varphi_{\varphi_e(x)}(\psi_A(x)))) \wedge \text{fst}(\varphi_{\varphi_e(x)}(\psi_A(x))) \downarrow).$$

Grading:

(0.5 points): Finding the correct term.

(0.5 points): Argumenst that some of the terms are defined.

(1 point): Show that the presented term indeed realises the statement.

**c)** We define  $x := [\lambda e. \langle [t_1(e)], t_2(e) \rangle]$  and claim that  $x \mathbf{rn} F$ . Spelling out the definitions:

$$\begin{aligned} & \forall e (e \mathbf{rn} (\forall x (A(x) \rightarrow \exists y B(x, y))) \rightarrow \langle [t_1(e)], t_2(e) \rangle \mathbf{rn} \exists e \forall x (A(x) \rightarrow B(x, \varphi_e(x)) \wedge \varphi_e(x) \downarrow)) \equiv \\ & \forall e (e \mathbf{rn} (\forall x (A(x) \rightarrow \exists y B(x, y))) \rightarrow t_2(e) \mathbf{rn} \forall x (A(x) \rightarrow B(x, \varphi_{[t_1(e)]}(x)) \wedge \varphi_{[t_1(e)]}(x) \downarrow)) \equiv \\ & \forall e (e \mathbf{rn} (\forall x (A(x) \rightarrow \exists y B(x, y))) \rightarrow t_2(e) \mathbf{rn} \forall x (A(x) \rightarrow B(x, \text{fst}(\varphi_{\varphi_e(x)}(\psi_A(x)))) \wedge \text{fst}(\varphi_{\varphi_e(x)}(\psi_A(x))) \downarrow)). \end{aligned}$$

So by *b*) we conclude that  $x \mathbf{rn} F$  and we thus have  $\mathbf{HA} \vdash \exists x (x \mathbf{rn} F)$ .

Grading:

(0.5 points) Finding the correct term.

(0.5 points) Show that it works.