

## 1 Beth Models

Note: the numbering for definitions, theorems, etc. directly corresponds to the numbering used in *Constructivism in Mathematics: An Introduction, Volume II*, 1988, ch. 13.

### 1.1 Introduction

**Definition 1.1.** A *Beth model* for a relational language  $\mathcal{L}$  is a quadruple  $\mathcal{B} = (K, \preceq, D, \Vdash)$  such that

- (i)  $(K, \preceq)$  is a spread,
- (ii)  $D$  is a domain function assigning to each node  $k \in K$  a non-empty set  $D(k)$  such that  $k \preceq k'$  implies  $D(k) \subseteq D(k')$ ,
- (iii) the forcing relation  $\Vdash$  is a binary relation between nodes of  $K$  and atomic sentences  $P$  such that

$$\text{B1. } k \Vdash P \iff \forall \alpha \in k \exists m : \bar{\alpha}(m) \Vdash P \text{ and } D(k) \text{ contains the constants in } P, \\ k \not\Vdash \perp \text{ for all } k \in K,$$

$$\text{B2. } k \Vdash A \wedge B \iff k \Vdash A \text{ and } k \Vdash B,$$

$$\text{B3. } k \Vdash A \vee B \iff \forall \alpha \in k \exists n : \bar{\alpha}(n) \Vdash A \text{ or } \bar{\alpha}(n) \Vdash B,$$

$$\text{B4. } k \Vdash A \rightarrow B \iff \forall k' \succeq k : k' \Vdash A \text{ implies } k' \Vdash B,$$

$$\text{B5. } k \Vdash \exists x A(x) \iff \forall \alpha \in k \exists n \exists d \in D(\bar{\alpha}(n)) : \bar{\alpha}(n) \Vdash A(d),$$

$$\text{B6. } k \Vdash \forall x A(x) \iff \forall k' \succeq k \forall d \in D(k') : k' \Vdash A(d).$$

In this definition  $\alpha$  ranges over the infinite branches of  $(K, \preceq)$ .

If  $(K, \preceq)$  is a fan, we can, instead of B1, B3, B5, use the following, stronger conditions:

$$\text{B1}' \quad k \Vdash P \iff \exists z \forall k' \succeq_z k \exists k'' \preceq k' : k'' \Vdash P$$

$$\text{B2}' \quad k \Vdash A \vee B \iff \exists z \forall k' \succeq_z k : k' \Vdash A \text{ or } k' \Vdash B$$

$$\text{B3}' \quad k \Vdash \exists x A(x) \iff \exists z \forall k' \succeq_z k \exists d \in D(k') : k' \Vdash A(d)$$

We can also liberalize the definition of Beth models by allowing  $(K, \preceq)$  to be an arbitrary tree instead of a spread, i.e. we no longer require each  $k \in K$  to have a  $\preceq$ -successor. This permits Beth models to be finite, with quantification over infinite branches  $\alpha$  replaced by quantification over the  $\preceq$ -maximal nodes in the tree. Let us refer to these as **liberalized Beth models**.

## 1.2 Relation to Kripke Models

**Definition 1.5.** Let  $\mathcal{K} = (K, \preceq, D, \Vdash)$  be a Kripke model. We associate to this Kripke model a Beth model  $\mathcal{K}' = (K', \preceq', D', \Vdash')$  in the following manner:

- (i)  $K'$  consists of all finite non-decreasing sequences of  $(K, \preceq)$ ,
- (ii)  $\preceq'$  is the usual initial segment relation,
- (iii)  $D'((k_1, \dots, k_n)) := D(k_n)$ ,
- (iv)  $(k_1, \dots, k_n) \Vdash' P \iff k_n \Vdash P$ .

**Theorem 1.5.** Let  $\mathcal{K}$  be a Kripke model and  $\mathcal{K}'$  its corresponding Beth model. For all nodes  $k_1, \dots, k_n \in K$  and  $\mathcal{L}(D(k_n))$ -sentences  $A$ , we have

$$(k_1, \dots, k_n) \Vdash' A \iff k_n \Vdash A.$$

By a more elaborate construction, we can show something stronger: we can transform every Kripke model to a Beth model *with constant domain*.

## 1.3 Completeness

**Lemma 2.3.** For all  $k \in K$ ,  $\mathcal{L}(\Gamma_k)$ -sentences  $A$  and  $x \in \mathbb{N}$ :

$$\Gamma_k \vdash A \iff \forall k' \succeq_x k : \Gamma_{k'} \vdash A.$$

**Lemma 2.5.** For the Beth model  $\mathcal{B}^*$ , we have for every  $k \in K$  and  $\mathcal{L}(\Gamma_k)$ -sentence  $A$ :

$$k \Vdash A \iff \Gamma_k \vdash A.$$

**Theorem 2.8.** For IQC there exists a fallible Beth model  $\mathcal{B}^*$  such that, for all sentences  $A$ ,

$$\mathcal{B}^* \Vdash A \iff \Gamma \vdash A.$$

## 2 Heyting algebras

**Definition.** A lattice is a poset  $(A, \leq)$  such that for each  $a, b \in A$  there is a least upper bound  $a \vee b$  (the *join* of  $a$  and  $b$ ) and a greatest lower bound  $a \wedge b$  (the *meet* of  $a$  and  $b$ ).

**Definition.** A lattice  $(A, \leq)$  is *bounded* if it contains an element  $\perp$ , called *bottom*, satisfying  $\forall a \in A (\perp \leq a)$  and an element  $\top$ , called *top*, satisfying  $\forall a \in A (a \leq \top)$ . If existing, top and bottom are unique.

**Definition.** A lattice  $(A, \leq)$  is *distributive* if for all  $a, b, c \in A$

$$\begin{aligned} a \wedge (b \vee c) &= (a \wedge b) \vee (a \wedge c) \\ a \vee (b \wedge c) &= (a \vee b) \wedge (a \vee c). \end{aligned}$$

**Definition.** We say that a lattice is *complete* if every subset  $X \subseteq A$  has a *join*  $\bigvee X := \sup(X)$  and a *meet*  $\bigwedge X := \inf(X)$ .

**Definition.** A (*complete*) *Heyting algebra*, (c)Ha for short, is a (complete) bounded lattice  $(A, \leq)$  such that for each  $a, b \in A$  the set  $\{x \mid x \wedge a \leq b\}$  has a greatest element, which we then denote by  $a \rightarrow b$ .

**Properties.** The following properties hold for a Ha  $(A, \leq)$  and elements  $a, b, c \in A$ .

1.  $A$  is distributive.
2.  $(a \wedge b) \leq c \Leftrightarrow (a \leq b \rightarrow c)$ ,
3.  $a \rightarrow b = \top \Leftrightarrow a \leq b$ ,

### 3 Global $\Omega$ -models

We work in a fixed one-sorted IQC-language  $\mathcal{L}$  without equality. Let  $\Omega$  be a fixed cHa.

**Definition.** A *global  $\Omega$ -model* for  $\mathcal{L}$  consists of a set  $M$  together with:

- an element  $\llbracket c \rrbracket \in M$  for each constant symbol  $c \in \mathcal{L}$ ,
- a function  $\llbracket R \rrbracket : M^n \rightarrow \Omega$  for each  $n$ -ary relation symbol  $R$  in  $\mathcal{L}$ ,
- a function  $\llbracket f \rrbracket : M^n \rightarrow M$  for each  $n$ -ary function symbol  $f$  in  $\mathcal{L}$ .

**Semantics.** We extend  $\llbracket \cdot \rrbracket$  to terms in  $\mathcal{L}_M$  by taking

$$\begin{aligned} \llbracket c_m \rrbracket &:= m, \\ \llbracket f(t_1, \dots, t_n) \rrbracket &:= \llbracket f(\llbracket t_1 \rrbracket, \dots, \llbracket t_n \rrbracket) \rrbracket := \llbracket f \rrbracket(\llbracket t_1 \rrbracket, \dots, \llbracket t_n \rrbracket). \end{aligned}$$

Now  $\llbracket \cdot \rrbracket$  is defined for sentences of  $\mathcal{L}_M$  by

$$\begin{aligned} \llbracket R(t_1, \dots, t_n) \rrbracket &:= \llbracket R(\llbracket t_1 \rrbracket, \dots, \llbracket t_n \rrbracket) \rrbracket := \llbracket R \rrbracket(\llbracket t_1 \rrbracket, \dots, \llbracket t_n \rrbracket), \\ \llbracket \perp \rrbracket &:= \perp, \\ \llbracket A \circ B \rrbracket &:= \llbracket A \rrbracket \circ \llbracket B \rrbracket \text{ for } \circ \in \{\wedge, \vee, \rightarrow\}, \\ \llbracket \forall x A(x) \rrbracket &:= \bigwedge \{\llbracket A(m) \rrbracket \mid m \in M\}, \\ \llbracket \exists x A(x) \rrbracket &:= \bigvee \{\llbracket A(m) \rrbracket \mid m \in M\}. \end{aligned}$$

## 4 Intuitionistic logic with existence

We transform **IQC** (without equality) to a logic with existence as follows. First we add the rule

$$\text{SUB} \frac{A}{A[x/t]},$$

where  $x$  is any variable not occurring freely in assumptions of the derivation of  $A$ . Furthermore, we add a special relation **E** and adapt the quantifiers deduction rules as follows

$$\begin{array}{c} \frac{[\mathbf{E}x] \\ \vdots \\ A}{\forall y A[x/y]} \forall I^{\mathbf{E}} \qquad \frac{\forall x A \quad \mathbf{E}t}{A[x/t]} \forall E^{\mathbf{E}}, \\ \\ \frac{A[x/t] \quad \mathbf{E}t}{\exists x A} \exists I^{\mathbf{E}} \qquad \frac{[A][\mathbf{E}x] \\ \vdots \\ C}{\exists y A[x/y]} \exists E^{\mathbf{E}}. \end{array}$$

To turn it into a logic with equality we add a special relation  $=$  and the rules

$$\text{EQEX} \frac{t = t}{\mathbf{E}t} \qquad \text{EXEQ} \frac{\mathbf{E}t}{t = t} \qquad \text{REPL} \frac{A[x/t] \quad \mathbf{E}t \vee \mathbf{E}s \rightarrow t = s}{A[x/s]}.$$

Finally, for a given language  $\mathcal{L}$ , we add rules for all relation and function symbols representing the assumption of *strictness*:

$$\text{STRR} \frac{R(t_1, \dots, t_n)}{\mathbf{E}t_i} \qquad \text{STRF} \frac{\mathbf{E}f(t_1, \dots, t_n)}{\mathbf{E}t_i}.$$

The resulting system is called **IQCE**.

**Properties.** The following are derivable in **IQCE**.

1.  $\mathbf{E}t \leftrightarrow t = t \leftrightarrow \exists x(t = x)$ ,
2.  $t = s \leftrightarrow \exists x(t = x \wedge s = x)$ ,
3.  $f(\vec{t}) = x \leftrightarrow \exists \vec{y}(\vec{y} = \vec{t} \wedge f(\vec{y}) = x)$ .

## 5 Nonglobal $\Omega$ -structures

We work in a fixed one-sorted **IQCE**-language  $\mathcal{L}$ . let  $\Omega$  be a fixed cHa. Write .

**Definition.** A nonglobal  $\Omega$ -structure for  $\mathcal{L}$  is consists of a pair  $(M, \llbracket \cdot = \cdot \rrbracket)$  containing a set  $M$  and a function  $\llbracket \cdot = \cdot \rrbracket : M \times M \rightarrow \Omega$  such that for all  $x, y, z \in M$ ,

$$\begin{array}{ll} \llbracket x = y \rrbracket = \llbracket y = x \rrbracket, & \llbracket x = y \rrbracket \wedge \llbracket y = z \rrbracket \leq \llbracket x = z \rrbracket, \\ E(x) := \llbracket x = x \rrbracket, & \llbracket \vec{x} = \vec{y} \rrbracket := \bigwedge \llbracket x_i = y_i \rrbracket, \end{array}$$

together with  $\Omega$ -interpretations for all symbols in  $\mathcal{L}$  such that for all relations  $R$  and functions  $f$

$$\begin{aligned} \llbracket \vec{a} = \vec{b} \rrbracket \wedge R(\vec{a}) &\leq R(\vec{b}) & E(f\vec{a}) \wedge \llbracket \vec{a} = \vec{b} \rrbracket &\leq \llbracket f\vec{a} = f\vec{b} \rrbracket \\ \llbracket R(\vec{a}) \rrbracket &\leq \llbracket E(\vec{a}) \rrbracket & E(f\vec{a}) &\leq E\vec{a}. \end{aligned}$$

**Semantics.** We extend  $\llbracket \cdot \rrbracket$  as before, where  $\llbracket \cdot = \cdot \rrbracket$  is the interpretation of  $=$  and  $E$  of  $\mathbf{E}$ . The interpretations of the quantifiers are adapted to

$$\begin{aligned} \llbracket \forall x A(x) \rrbracket &:= \bigwedge \{ \llbracket E(m) \rightarrow A(m) \rrbracket \mid m \in M \}, \\ \llbracket \exists x A(x) \rrbracket &:= \bigvee \{ \llbracket E(m) \wedge A(m) \rrbracket \mid m \in M \}. \end{aligned}$$

## 6 Soundness and completeness

**Theorem 1** (Soundness, Troelstra & van Dalen, 6.7). *If  $\mathbf{IQCE} + \Gamma \vdash A$  for a set of sentences  $\Gamma$  and a sentence  $A$ , then  $\llbracket A \rrbracket = \top$  in each  $\Omega$ -model for which  $\llbracket B \rrbracket = \top$  for all  $B \in \Gamma$ , we write  $\Gamma \Vdash_{cHa} A$ .*

**Definition.** Let  $\Theta$  be a Ha. A  $\Theta$ -structure is defined exactly as a  $\Omega$ -structure. Of a  $\Theta$ -structure  $(M, \llbracket \cdot = \cdot \rrbracket)$  for some language  $\mathcal{L}$  we say that it is *definitionally complete* w.r.t.  $\mathcal{L}$  if for all  $\mathcal{L}$ -formulae  $B(\vec{x})$  such that  $\llbracket B(\vec{m}) \rrbracket \in \Theta$  for all  $\vec{m} \in M$ , we have

$$\bigvee \{ E\vec{m} \wedge \llbracket B\vec{m} \rrbracket \} \in \Theta, \quad \bigwedge \{ E\vec{m} \rightarrow \llbracket B\vec{m} \rrbracket \} \in \Theta.$$

**Theorem 2** (Troelstra & van Dalen, 6.12). *Let  $\Gamma$  be and  $\mathcal{L}$ -theory. Then there is a definitionally complete  $\Theta$ -structure in which*

$$\Gamma \vdash A \Leftrightarrow \llbracket A \rrbracket = \top.$$

**Theorem 3** (Troelstra & van Dalen, 6.13). *Any Ha can be embedded in a cHa preserving  $\wedge, \vee, \rightarrow, \perp$  and all existing meets and joins.*

**Theorem 4** (Completeness, Troelstra & van Dalen, 6.15).  $\Gamma \vdash A \Leftrightarrow \Gamma \Vdash_{cHa} A$ .