

# Exam Computability Theory

May 27, 2013, 14.15–17.15

With Solutions

*This exam consists of 5 exercises; see also the back side. Every exercise is worth 10 points; in case the exercise is divided into parts, it is indicated at each part how much this part is worth.*

*Advice: first do those exercises you can do straight away; then start thinking about the others.*

GOOD LUCK!

## Exercise 1:

Prove that the following functions are primitive recursive:

a) (5)  $F(x) = x \underbrace{!\cdots!}_{x \text{ times}}$

b) (5) The function  $F$  which is such that  $F(x) = 0$  for  $x \leq 1$ , and for  $x > 1$ ,  $F(x)$  is the cardinality of the set  $\{y \mid 1 \leq y < x, \gcd(y, x) = 1\}$

**Solution:** a) Define the function  $G(y, x)$  by  $G(0, x) = x$  and  $G(y + 1, x) = G(y, x)!$ . Since the function  $x \mapsto x!$  is primitive recursive,  $G$  is primitive recursive (being defined by primitive recursion from the factorial function). Now  $F(x) = G(x, x)$ , so  $F$  is defined by composition from  $G$ .

b) Define  $G(y, x)$  as follows:  $G(0, x) = 0$  and

$$G(y + 1, x) = \begin{cases} 0 & \text{if } y = 0 \\ G(y, x) & \text{if } y > 0 \text{ and } \gcd(y + 1, x) > 1 \\ G(y, x) + 1 & \text{otherwise} \end{cases}$$

Then since  $\gcd$  is primitive recursive and  $G$  is defined by primitive recursion from  $\gcd$  and definition by cases from primitive recursive relations,  $G$  is primitive recursive. Now  $F(x) = G(x, x)$ .

## Exercise 2:

Prove that the following problems are unsolvable with respect to indices of total functions:

a) (5)  $\exists x \mid e \cdot x - e \cdot (x + 1) \mid$  is even

b) (5)  $e \cdot e \in \mathcal{K}$  (where  $\mathcal{K}$  is the standard set)

**Solution:** a) Suppose  $f$  were an index such that for all  $e$  with  $\phi_e$  total,  $f \cdot e$  is defined and  $f \cdot e = 0$  if and only if  $\exists x |e \cdot x - e \cdot (x + 1)|$  is even. By the Recursion Theorem, there is an index  $a$  satisfying for all  $x$ :

$$a \cdot x \simeq \begin{cases} x & \text{if for no } k \leq x, T(1, f, a, k) \text{ and } U(k) > 0 \\ 2 & \text{otherwise} \end{cases}$$

Then  $\phi_a$  is total, hence  $f \cdot a$  is defined; but if  $f \cdot a = 0$  then  $a \cdot x = x$  for all  $x$  so  $|a \cdot x - a \cdot (x + 1)|$  is never even. And if  $f \cdot a > 0$  then from some point on,  $\phi_a$  is constant with value 2 so then for all  $x$  large enough,  $|a \cdot x - a \cdot (x + 1)|$  is even. This is a contradiction with the assumption on  $f$ .

b): there is a primitive recursive function  $F$  such that for all  $e$ ,  $\phi_{F(e)}$  is total and  $F(e) \cdot F(e) = e$ . Namely, choose an index  $f$  such that  $f \cdot (e, x) = e$  for all  $e, x$  and let  $F(e) = S_1^1(f, e)$ . Now clearly  $F(e) \cdot F(e) \in \mathcal{K}$  if and only if  $e \in \mathcal{K}$  so if the property in b) were decidable for indices of total functions, then  $\mathcal{K}$  would be recursive; quod non.

**Exercise 3:**

We consider the set  $A = \{e \mid W_e \text{ has at most } e \text{ elements}\}$ .

a) (5) Show that  $A$  is a  $\Pi_1$ -set.

b) (5) Show that  $A$  is not a  $\Sigma_1$ -set, by reducing  $\mathbb{N} - \mathcal{K}$  to  $A$ .

**Solution:** a)  $e \in A$  holds if and only if for all  $\sigma$ : if  $\text{lh}(\sigma) = e + 1$  and  $\forall i \leq e T(1, e, j_1((\sigma)_i), j_2((\sigma)_i))$ , then  $\exists i, k \leq e (i \neq k \wedge j_1((\sigma)_i) = j_1((\sigma)_k))$ . Therefore  $A = \{e \mid \forall \sigma R(e, \sigma)\}$  for some primitive recursive relation  $R$ ; so  $A$  is a  $\Pi_1$ -set.

b): Let, by the Recursion Theorem,  $f$  be an index such that

$$f \cdot (e, x) \simeq \begin{cases} 0 & \text{if } x < S_1^1(f, e) \\ e \cdot e & \text{otherwise} \end{cases}$$

Then for  $F(e) = S_1^1(f, e)$  we have:  $W_{F(e)}$  has at most  $F(e)$  elements, if and only if  $e \cdot e$  is undefined, so if and only if  $e \in \mathbb{N} - \mathcal{K}$ . We see that  $(\mathbb{N} - \mathcal{K}) \leq_m A$ . Since  $\mathbb{N} - \mathcal{K}$  is  $m$ -complete in  $\Pi_1$ ,  $A$  is.

**Exercise 4:**

We consider the set  $A = \{e \mid \phi_e \text{ is total and eventually constant}\}$ . Here, ‘ $F$  is eventually constant’ means: for some  $n$ ,  $\forall k, l > n (F(k) = F(l))$ .

a) (3) Show that  $A$  is not recursively enumerable.

- b) (4) Classify  $A$  in the arithmetical hierarchy: give a number  $n$  (as small as you can) and show that  $A$  is  $\Sigma_n$ ,  $\Pi_n$  or  $\Delta_n$ .
- c) (3) Show that your classification is best possible.

**Solution:** a) Considering that  $A$  is extensional for indices of partial recursive functions, this is more or less immediate from the Myhill-Shepherdson Theorem.

b)  $A$  can be written as the intersection of two sets  $A_1$  and  $A_2$ :

$$\begin{aligned} A_1 &= \{e \mid \forall x \exists y T(1, e, x, y)\} \\ A_2 &= \{e \mid \exists n \forall klmr (T(1, e, k, l) \wedge T(1, e, m, r) \wedge k, m > n) \rightarrow U(l) = U(r)\} \end{aligned}$$

Now  $A_1$  is  $\Pi_2$  and  $A_2$  is  $\Sigma_2$ , so both sets are in  $\Delta_3$ . Because  $\Delta_3$  is closed under intersections,  $A$  is a  $\Delta_3$ -set.

c) In order to show that  $A$  is not in  $\Pi_2$ , take a set  $B$  which is  $m$ -complete in  $\Sigma_2$  and prove  $B \leq_m A$ . For example,  $B = \{e \mid \text{rge}(\phi_e) \text{ is finite}\}$ . Let  $f$  be an index such that

$$f \cdot (e, x) \simeq \max\{U(k) \mid k \leq x \text{ and } \exists w \leq x T(1, e, w, k)\}$$

Then for  $F(e) = S_1^1(f, e)$ ,  $\phi_{F(e)}$  is total (so  $F(e) \in A_1$ ), and  $F(e) \in A_2$  if and only if  $\text{rge}(\phi_e)$  is finite. Hence  $F$  reduces  $B$  to  $A$ , as desired.

In order to show that  $A$  is not in  $\Sigma_2$ , take a set  $B$  which is  $m$ -complete in  $\Pi_2$  and prove  $B \leq_m A$ . Here we can take  $B = \{e \mid \phi_e \text{ is total}\}$ . Let  $f$  be such that

$$f \cdot (e, x) \simeq \begin{cases} 0 & \text{if } e \cdot x \text{ is defined} \\ \text{undefined} & \text{otherwise} \end{cases}$$

Then for  $F(e) = S_1^1(f, e)$ ,  $F(e)$  is always in  $A_2$ , and  $F(e) \in A_1$  if and only if  $\phi_e$  is total (which is, if  $e \in B$ ). So again  $F$  reduces  $B$  to  $A$ .

### Exercise 5:

We consider every function  $F : \mathbb{N} \rightarrow \mathbb{N}$  as a directed graph with set of points  $\mathbb{N}$ : for  $n, m \in \mathbb{N}$ , we have an edge from  $n$  to  $m$  if and only if  $F(j(n, m)) = 0$ . In such a graph, a *path* from  $m$  to  $n$  is a sequence of edges, such that each edge starts where the previous one ends, and the first one starts at  $m$  and the last one ends at  $n$ . A graph is *connected* if for each  $n$  and  $m$ , there is either a path from  $m$  to  $n$  or a path from  $n$  to  $m$ .

- a) (5) Show that the set  $\{F \mid F, \text{ considered as a graph, is connected}\}$  is a  $\Pi_2^0$ -subset of  $\mathbb{N}^{\mathbb{N}}$

b) (5) Show that the set  $\{F \mid \text{there is no infinite path in } F\}$  is not arithmetical.

**Solution:** a) The given set consists of those  $F$  satisfying: for all  $m, n$ , either there is  $\sigma$  with  $(\sigma)_0 = m$  and  $(\sigma)_{\text{lh}(\sigma)-1} = n$  and  $\forall i < \text{lh}(\sigma) - 1 F(j((\sigma)_i, (\sigma)_{i+1})) = 0$ , or there is such a  $\sigma$  in the other direction (interchange the roles of  $m$  and  $n$ ). So this is of the form  $\forall nm \exists \sigma [\dots]$  with  $[\dots]$  recursive. Hence the set is  $\Pi_2^0$ .

b) This should have reminded you of the set WfRec of indices of characteristic functions of recursive well-founded trees. There is a primitive recursive function  $F$  such that for all  $x$ ,

$$F(x) \cdot j(\sigma, \tau) \simeq \begin{cases} 0 & \text{if } x \cdot \sigma = x \cdot \tau = 0 \text{ and} \\ & \text{lh}(\tau) = \text{lh}(\sigma) + 1 \text{ and } \forall i < \text{lh}(\sigma) (\sigma)_i = (\tau)_i \\ 1 & \text{if } x \cdot \sigma \text{ and } x \cdot \tau \text{ are defined but the condition} \\ & \text{above does not hold} \\ \text{undefined} & \text{if } x \cdot \sigma \text{ or } x \cdot \tau \text{ is undefined} \end{cases}$$

Then if  $\phi_x$  is the characteristic function of a recursive tree,  $\phi_{F(x)}$  is total, and  $\phi_{F(x)}$ , considered as a graph, has no infinite path precisely when  $\phi_x$  is the characteristic function of a recursive well-founded tree. So if the set  $A = \{F \mid \text{there is no infinite path in } F\}$  were arithmetical, then the set  $\{x \mid \phi_x \in A\}$  would be an arithmetical subset of  $\mathbb{N}$ . However, it is  $m$ -complete in  $\Pi_1^1$  by Theorem 6.3.3 in the notes.